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(71) Applicant (*for all designated States except US*): SHEAR-WATER POLYMERS, INCORPORATED [US/US]; 1112 Church Street, Huntsville, AL 35801 (US).

(72) Inventors; and

(75) Inventors/Applicants (*for US only*): BENTLEY, Michael, David [US/US]; 4017 Nolen Avenue, Huntsville, AL 35801 (US). HARRIS, J., Milton [US/US]; 3119 Highland Plaza, Huntsville, AL 35801 (US). KOZLOWSKI, Antoni [US/US]; 1500-13D Sparkman Drive, Huntsville, AL 35816 (US).

(74) Agents: PEDIGO, Paul, F. et al.; Alston & Bird LLP, P.O. Drawer 34009, Charlotte, NC 28234-4009 (US).

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(54) Title: HETEROBIFUNCTIONAL POLY(ETHYLENE GLYCOL) DERIVATIVES AND METHODS FOR THEIR PREPARATION

(57) Abstract: This invention provides a method for preparing, in high purity and high yield, heterobifunctional derivatives of poly(ethylene glycol) or related polymers. A chromatographic purification step is not necessary in the method. In accordance with the method of the invention, an intermediate polymer having a formula of W-Poly-OH is provided bearing a removable group W at one terminus. The intermediate polymer W-Poly-OH is first altered by modifying the OH group to a first functional group X, followed by the removal of W to generate a second hydroxyl group. The latter hydroxyl group may then be further converted to a second functional group Y, thus providing the desired heterobifunctional derivative.

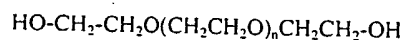
## HETEROBIFUNCTIONAL POLY(ETHYLENE GLYCOL) DERIVATIVES AND METHODS FOR THEIR PREPARATION

### Field of the Invention

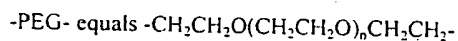
The invention relates to heterobifunctional poly(ethylene glycol) derivatives and methods for their preparation.

### BACKGROUND OF THE INVENTION

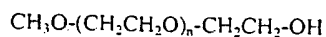
Covalent attachment of the hydrophilic polymer poly(ethylene glycol), abbreviated (PEG), also known as poly(ethylene oxide), abbreviated (PEO), to molecules and surfaces has important applications in biotechnology and medicine. In its most common form, PEG is a linear polymer having hydroxyl groups at each terminus:



This formula can be represented in brief as HO-PEG-OH, where it is understood that -PEG- represents the polymer backbone without the terminal groups:

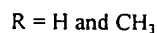
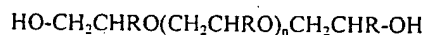


PEG is commonly used as methoxy-PEG-OH, or mPEG in brief, in which one terminus is the relatively inert methoxy group, while the other terminus is a hydroxyl group that is subject to ready chemical modification.



It is understood by those skilled in the art that the term poly(ethylene glycol) or PEG represents or includes all the above forms and still others.

The copolymers of ethylene oxide and propylene oxide are closely related to PEG in their chemistry, and they can be substituted for PEG in many of its applications.

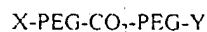


PEG is a useful polymer having the property of water solubility as well as solubility in many organic solvents. PEG is also non-toxic and non-immunogenic. When PEG is chemically attached to a water insoluble compound, the resulting conjugate generally is water soluble as well as soluble in many organic solvents. When the molecule to which PEG is attached is biologically active, such as a drug, this activity is commonly retained after attachment of PEG and the conjugate may display altered pharmacokinetics. For example, Bentley *et al.* in Polymer Preprints, 38(1), 584 (1997) demonstrated that the water insoluble antemisinin becomes water soluble and exhibits increased antimalarial activity when coupled to PEG. Davis *et al.*, in U.S. Patent No. 4,179,337 have shown that proteins coupled to PEG have enhanced blood circulation lifetime because of reduced kidney clearance and reduced immunogenicity. The lack of toxicity of PEG and its rapid clearance from the body are advantageous for pharmaceutical applications.

As applications of PEG chemistry have become more sophisticated, there has been an increasing need for heterobifunctional PEGs, that is PEGs bearing dissimilar terminal groups:



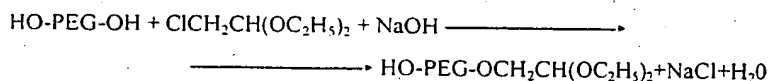
where X and Y are different groups. PEGs having backbone ester groups and terminal groups, X and Y:



can be considered to be heterobifunctional even if X and Y are the same, since each PEG unit within the backbone is substituted unsymmetrically.

Such heterobifunctional PEGs bearing appropriate functional groups may be used to link the PEGs to surfaces or other polymers, such as polysaccharides or proteins, with the other terminus attached, for example, to a drug, a liposome, another protein, or a biosensor. If one terminus is bound to a polymer, and the other terminus is bonded to an appropriate functional group, cross-linking to form a useful hydrogel can occur.

Utilizing existing methods, however, heterobifunctional PEGs are often difficult or impossible to prepare in high purity. For example, one could conduct the below reaction, using molar equivalents of each reagent with the goal of preparing the heterobifunctional PEG acetal product shown:



In practice, however, some of the disubstituted PEG diethyl acetal,  $(\text{C}_2\text{H}_5\text{O})_2\text{CH}_2\text{O-PEG-OCH}_2\text{CH}(\text{OC}_2\text{H}_5)_2$  is also inevitably formed and some unreacted PEG would also remain. Tedious chromatography would be required to separate this mixture.

The chromatographic approach has been used by Zalipsky (Bioconjugate Chemistry, 4: 296-299, 1993) to purify the following heterobifunctional PEG derivative:

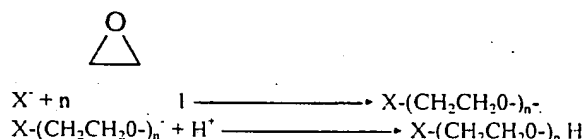


from a reaction product mixture also containing unreacted PEG and the disubstituted carboxylic acid derivative.

In certain applications, it is essential that minimum HO-PEG-OH be present in monoalkyl PEGs used to prepare monofunctional activated PEGs, since the presence of HO-PEG-OH would lead to doubly activated PEG derivatives which would result in crosslinked products or have other undesirable effects. In

fact, HO-PEG-OH is a common contaminant in monoalkyl PEGs. The chromatographic approach has been disclosed in U.S. Patent No. 5,298,410 to separate CH<sub>3</sub>O-PEG-OH from HO-PEG-OH by forming the trityl (Ph<sub>3</sub>C-derivatives), separating the derivatives chromatographically, and removing the trityl group from CH<sub>3</sub>O-PEG-OCPh<sub>3</sub>. A recent patent application, Suzawa, *et al.* (WO96/35451) disclosed benzyl PEG (C<sub>6</sub>H<sub>5</sub>-CH<sub>2</sub>-OPEG-OH) as an intermediate in preparing a heterobifunctional PEG bearing a group at one terminus having affinity for a target cell and having a toxin at the other terminus. The benzyl PEG, however, was prepared by benzylolation of PEG, followed by laborious extensive gradient chromatography to separate benzyl PEG from dibenzyl PEG and unreacted PEG. The procedure was done on a small scale with a yield of only 7.8%. The method thus has little value for useful commercial production.

A second strategy, the polymerization approach, for preparing heterobifunctional PEGs involves anionic polymerization of ethylene oxide onto an anion, X<sup>-</sup>, which ultimately becomes the end-group of the polymer:



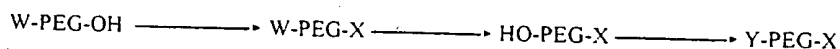
This method has been used by Yokoyama, *et al.* (Bioconjugate Chemistry, 3: 275-276, 1992) to prepare a PEG with a hydroxyl group at one terminus and an amino group at the other. Cammas, *et al.* (Bioconjugate Chemistry, 6: 226-230, 1995) have used this method to prepare PEGs with an amino group on one terminus and a hydroxyl or methoxy group on the other. It has also been used by Nagasaki, *et al.* (Bioconjugate Chemistry, 6: 231-233, 1995) to prepare a PEG having a formyl group at one terminus and a hydroxyl group at the other. This method is generally useful only if X is a suitable and desired group on which to initiate polymerization: frequently this is not the case. Also, successful application of this method requires rigorous exclusion of water to prevent formation of HO-PEG-OH, and this problem becomes more severe as the molecular weight increases. It is also necessary to carefully control the degree of polymerization in

order to obtain the desired molecular weight of the PEG derivative. This method is limited by the degradation of many types of drug molecules under the harsh conditions of the polymerization if the ethylene oxide polymerization is conducted directly on the drug molecule. The method is also limited by lack of selectivity if more than one functional group is present on which polymerization can occur.

It would be desirable to provide additional methods for preparing heterobifunctional PEGs that substantially eliminate at least some of the problems and drawbacks of previous methods.

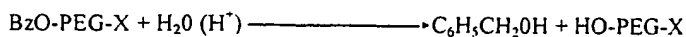
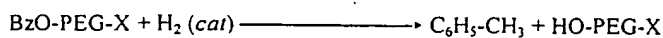
### SUMMARY OF THE INVENTION

This invention provides a method for preparing heterobifunctional poly(ethylene glycol) derivatives via a PEG intermediate bearing a removable group at one terminus. PEG derivatives of the class, W-PEG-OH, where W is a group removable by mild chemical methods, are provided and are first altered by modifying the OH group to a desired group, X, followed by removal of W to generate a second hydroxyl group. The latter hydroxyl group may then be further altered to a second functional group Y, thus providing the desired heterobifunctional PEG:



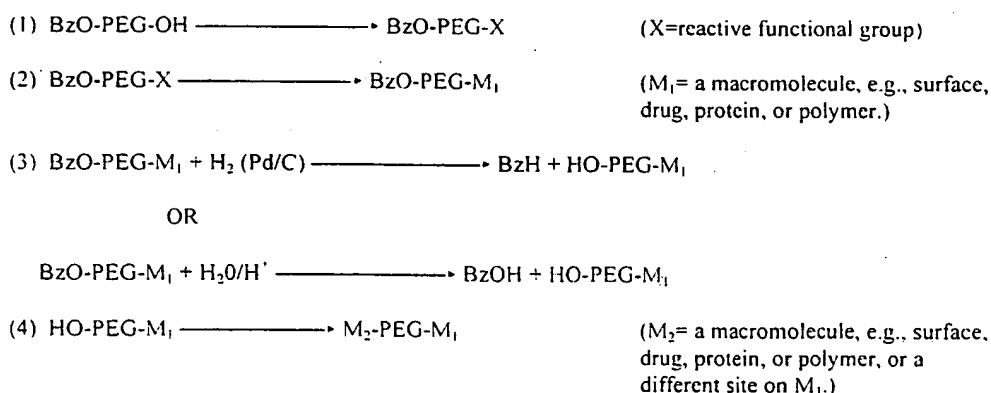
A preferred removable group is the benzyloxy group ( $\text{C}_6\text{H}_5\text{CH}_2\text{-O-}$ ), although other arylmethyl groups including, but not limited to, 4-methylbenzyl, 3-methylbenzyl, 4-chlorobenzyl, 4-methoxybenzyl, diphenylmethyl, triphenylmethyl, or 1-naphthylmethyl, may be used. Diarylmethyl and triarylmethyl groups will also suffice. Benzyloxy-PEG-OH ( $\text{BzO-PEG-OH}$ ), for example, may be prepared in high purity by polymerization of ethylene oxide onto the benzyloxide ion,  $\text{BzO}^-$ . By conducting the reaction under carefully controlled, anhydrous conditions, the heterobifunctional derivative product can be prepared with a minimum amount of HO-PEG-OH. An advantage of benzyl and other arylmethyl groups is that they may be removed from the PEG

under relatively mild conditions by catalytic hydrogenolysis or by acid-catalyzed hydrolysis.

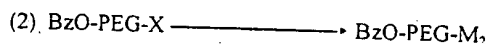
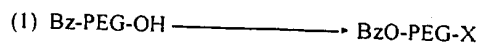


In the reactions above, *cat* is a catalyst such as palladium on charcoal.

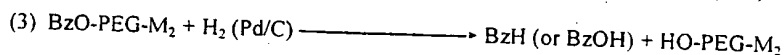
In one embodiment of the invention, the method is used in conjugating PEG or related polymers to macromolecules such as proteins, lipids, polysaccharides, or other polymers or surfaces. First, the hydroxyl group of the intermediate polymer BzO-PEG-OH is converted to a first reactive functional group. This reactive functional group allows the attachment of the BzO-PEG- to a macromolecule. The benzyl group is then removed by hydrogenolysis or hydrolysis, without chemically affecting the macromolecule, thus making available a new terminal hydroxyl group on the PEG derivative. This new hydroxyl group may be used directly to attach that terminus of the PEG derivative to the same or another macromolecule. Alternatively, the hydroxyl group may be further converted to a second reactive functional group, which is then used to link the PEG derivative to a macromolecule. If the second reactive functional group is linked to another polymer, a cross-linked polymer useful as a hydrogel may be generated. The reaction scheme may be illustrated in a general form as follows:



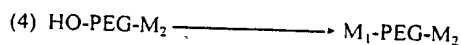
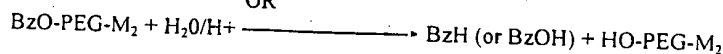
If desired, the order of the reaction sequence can be manipulated to avoid destruction of a chemical group sensitive to hydrogenation or hydrolysis:



(M<sub>2</sub>=drug, surface, polymer, or other group not sensitive to hydrogenation or hydrolysis.)

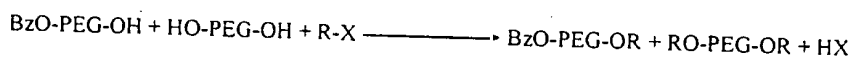


OR



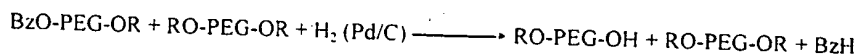
(M<sub>1</sub>=drug, surface, polymer, or other group sensitive to hydrogenation or hydrolysis.)

In another embodiment of the invention, a method of inhibiting the reactivity of HO-PEG-OH in a mixture of W-O-PEG-OH and HO-PEG-OH is disclosed. In this approach, alkylation of W-O-PEG-OH containing HO-PEG-OH produces a mixture of W-O-PEG-OR and RO-PEG-OR, where R is an alkyl group.



X is a leaving group such as mesylate or tosylate.

Catalytic hydrogenation converts the BzO-PEG-OR to RO-PEG-OH.



Thus, a mixture of RO-PEG-OH and RO-PEG-OR is produced.

Unlike HO-PEG-OH, RO-PEG-OR is inert and nonreactive. Thus, the mixture is equivalent to a pure product of RO-PEG-OH in most chemical reactions.

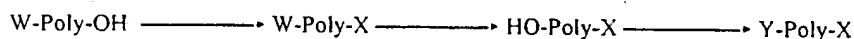
The foregoing and other objects, advantages, and features of the invention, and the manner in which the same are accomplished, will be more readily apparent upon consideration of the following detailed description of the invention.

### DETAILED DESCRIPTION OF THE INVENTION

This invention provides a method for preparing, in high purity and high yield, heterobifunctional derivatives of poly(ethylene glycol) or related polymers. A chromatographic purification step is not necessary in the method. In



accordance with the method of the invention, an intermediate polymer having a formula of W-Poly-OH is provided bearing a removable group W at one terminus. The intermediate polymer W-Poly-OH is first altered by modifying the OH group to a first functional group X, followed by the removal of W to generate a second hydroxyl group. The latter hydroxyl group may then be further converted to a second functional group Y, thus providing the desired heterobifunctional derivative:



In the discussion below, Poly will often be referred to for convenience as PEG or as poly(ethylene glycol). However, it should be understood that other related polymers are also suitable for use in the practice of the invention and that the use of the term PEG or poly(ethylene glycol) is intended to be inclusive and not exclusive in this respect.

Poly(ethylene glycol) or PEG is useful in biological applications because it has properties that are highly desirable and is generally approved for biological or biotechnical applications. PEG typically is clear, colorless, odorless, soluble in water, stable to heat, inert to many chemical agents, does not hydrolyze or deteriorate, and is nontoxic. Poly(ethylene glycol) is considered to be biocompatible, which is to say that PEG is capable of coexistence with living tissues or organisms without causing harm. More specifically, PEG is not immunogenic, which is to say that PEG does not tend to produce an immune response in the body. When attached to a moiety having some desirable function in the body, the PEG tends to mask the moiety and can reduce or eliminate any immune response so that an organism can tolerate the presence of the moiety. Accordingly, the heterobifunctional derivative of the invention should be substantially non-toxic and should not tend substantially to produce an immune response or cause clotting or other undesirable effects.

PEG having the formula  $-\text{CH}_2\text{CH}_2-(\text{CH}_2\text{CH}_2\text{O})_n-\text{CH}_2\text{CH}_2-$ , where n is from about 8 to about 4000, is one useful polymer in the practice of the invention. Other difunctional, water soluble, nonpeptidic polymers than PEG are

also suitable for the present invention. These other polymers include poly(vinyl alcohol) ("PVA"); other poly(alkylene oxides) such as poly(propylene glycol) ("PPG") and the like; and poly(oxyethylated polyols) such as poly(oxyethylated glycerol), poly(oxyethylated sorbitol), and poly(oxyethylated glucose), and the like. The polymers can be homopolymers or random or block copolymers and terpolymers based on the monomers of the above polymers, straight chain or branched.

Specific examples of suitable additional polymers include poly(oxazoline), difunctional poly(acryloylmorpholine) ("PACM"), and poly(vinylpyrrolidone) ("PVP"). PVP and poly(oxazoline) are well known polymers in the art and their preparation should be readily apparent to the skilled artisan. PACM and its synthesis and use are described in U.S. Patent Nos. 5,629,384 and 5,631,322, the contents of which are incorporated herein by reference in their entirety.

The terms "group," "functional group," "moiety," "active moiety," and "reactive site," are all somewhat synonymous in the chemical arts and are used in the art and herein to refer to distinct, definable portions or units of a molecule and to units that perform some function or activity and are reactive with other molecules or portions of molecules.

The term "linkage" is used to refer to groups that normally are formed as the result of a chemical reaction and typically are covalent linkages.

It should be understood that by "drug" is meant any substance intended for the diagnosis, cure, mitigation, treatment, or prevention of disease in humans and other animals, or to otherwise enhance physical or mental well being.

The term "macromolecule" is used to mean large molecules including, but not limited to, lipid, polysaccharide, proteins, nucleotide sequences, drugs, polymers, etc. It is often desirable to conjugate the above described polymers to such macromolecules.

In accordance with the invention, the removable group W can be removed from the polymer W-Poly-X by mild chemical reactions. Such chemical reactions can be performed in conditions under which other moieties of the polymer W-Poly-X, particularly the first functional group X, are not undesirably

modified. Preferably W has a formula of  $\text{Ar-C(R}_1\text{)(R}_2\text{)-O-}$  where Ar represents a moiety selected from the group consisting of phenyl, substituted phenyl, biphenyl, substituted biphenyl, polycyclic aryls, substituted polycyclic aryls, and heterocyclic aryls, where  $\text{R}_1$  and  $\text{R}_2$  are H, alkyl, or Ar which is defined above. Thus, exemplary examples of the removable group W include, but are not limited to, benzyloxy group ( $\text{C}_6\text{H}_5\text{CH}_2\text{-O-}$ ), and other arylmethyloxy groups including, but not limited to, 4-methylbenzyloxy, 3-methylbenzyloxy, 4-chlorobenzyloxy, 4-methoxybenzyloxy, diphenylmethyloxy, triphenylmethyloxy, and 1-naphthylmethyloxy. The arylmethyloxy groups can be removed from the polymer under relatively mild conditions by catalytic hydrogenolysis or acid-catalyzed hydrolysis.

In accordance with the invention, the intermediate polymer of W-Poly-OH is preferably synthesized, e.g., by polymerization of monomers of the suitable polymers onto the arylmethyloxy ion. For example, Benzyloxy-PEG-OH ( $\text{BzO-PEG-OH}$ ) may be prepared in high purity and high yield by polymerization of ethylene oxide onto the benzyloxy ion  $\text{BzO-}$ . Preferably, the polymerization reaction is conducted under anhydrous conditions. In accordance with this aspect of the invention, production of  $\text{HO-PEG-OH}$  is minimized. Extensive gradient chromatography purification is not required, and the yield of  $\text{BzO-PEG-OH}$  is high. This is in contrast with the prior art method of benzylation of PEG followed by laborious extensive gradient chromatography, which inevitably leads to high cost and low yield rendering the method of little value for commercial production.

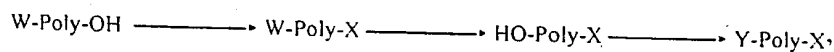
In accordance with the invention, the final product of the heterobifunctional derivative of poly(ethylene glycol) or related polymers have a formula of  $\text{Y-Poly-X}$ . The first functional group X and the second functional group Y are reactive moieties that are capable of reacting with other molecules to which the PEG derivatives are desired to be conjugated to, e.g., macromolecules including, but not limited to, proteins, lipids, polysaccharides, and other polymers. Examples of the first functional group X include, but are not limited to, mesylate; tosylate; tresylate;  $-\text{O}-(\text{CH}_2)_n-\text{CO}_2\text{H}$  where  $n = 1-6$ ;  $-\text{O}-(\text{CH}_2)_n-\text{CO}_2\text{R}_3$  where  $n = 1-6$  and  $\text{R}_3$  is an alkyl group;  $-\text{NHR}_4$  where  $\text{R}_4$  is H or alkyl or an amine protecting

group such as t-Boc and Fmoc;  $-\text{O}-(\text{CH}_2)_n-\text{CH}(\text{ZR}_5)_2$  where  $n$  is a number of 1-6,  $Z$  is O or S,  $R_5$  is H or an alkyl group;  $\text{Ar}-\text{CH}=\text{CH}-\text{CH}=\text{CH}-\text{CO}_2-$  where Ar represents a moiety selected from the group consisting of phenyl, substituted phenyl, biphenyl, substituted biphenyl, polycyclic aryls, substituted polycyclic aryls, and heterocyclic aryls;  $-\text{O}-(\text{CH}_2)_n-\text{CHO}$ ; and  $-\text{O}_2\text{CCH}_2\text{CH}_2\text{CO}_2\text{R}_6$ , where  $R_6$  is H or NHS which represents N-succinimidyl.

Examples of the second functional group Y include, but are not limited to, hydroxyl group; mesylate; tosylate; tresylate;  $-\text{O}-(\text{CH}_2)_n-\text{CO}_2\text{H}$  where  $n = 1-6$ ;  $-\text{O}-(\text{CH}_2)_n-\text{CO}_2\text{R}_3$  where  $n = 1-6$  and  $R_3$  is an alkyl group;  $-\text{NHR}_4$  where  $R_4$  is H or alkyl or an amine protecting group such as t-Boc and Fmoc;  $-\text{O}-(\text{CH}_2)_n-\text{CH}(\text{ZR}_5)_2$  where  $n$  is a number of 1-6,  $Z$  is O or S,  $R_5$  is H or an alkyl group;  $\text{Ar}-\text{CH}=\text{CH}-\text{CH}=\text{CH}-\text{CO}_2-$  where Ar represents a moiety selected from the group consisting of phenyl, substituted phenyl, biphenyl, substituted biphenyl, polycyclic aryls, substituted polycyclic aryls, and heterocyclic aryls;  $-\text{O}-(\text{CH}_2)_n-\text{CHO}$ ;  $-\text{O}_2\text{CCH}_2\text{CH}_2\text{CO}_2\text{R}_6$ , where  $R_6$  is H or NHS which represents N-succinimidyl; and  $\text{CH}_2=\text{CH}-\text{CO}_2-$ . In a poly(ethylene glycol) derivative of the formula Y-Poly-X, the first functional group X and the second functional group Y are preferably different from each other, thus ensuring the polymer to be heterobifunctional.

Preferably, when X is  $\text{Ar}-\text{CH}=\text{CH}-\text{CH}=\text{CH}-\text{CO}_2-$  where Ar is as defined above, Y is  $-\text{O}-(\text{CH}_2)_n-\text{CHO}$  or  $-\text{O}-(\text{CH}_2)_n-\text{CH}(\text{ZR}_5)_2$  where  $n$  is a number of 1-6,  $Z$  is O or S, and  $R_5$  is H or an alkyl group. When X is  $-\text{O}-(\text{CH}_2)_n-\text{CH}(\text{ZR}_5)_2$  where  $n$  is a number of 1-6,  $Z$  is O or S, and  $R_5$  is H or an alkyl group, Y is preferably  $-\text{O}_2\text{CCH}_2\text{CH}_2\text{CO}_2\text{R}_6$  where  $R_6$  is H or NHS. When X is  $-\text{O}-\text{CH}_2-\text{CO}_2\text{CH}(\text{CH}_3)\text{CH}_2\text{CONHS}$ , the second functional group Y is preferably  $\text{CH}_2=\text{CH}-\text{CO}_2-$ .

Although the reaction scheme of method of the present invention is demonstrated above in the equations:



it should be understood that there can be more than one chemical reaction steps between any of two products in the equations. For example, several sequential reaction steps may take place to convert the terminal hydroxyl group of W-Poly-

OH to the first functional group X. Likewise, several reaction steps may be performed to modify the new hydroxyl group of HO-Poly-X to produce the second functional group Y.

Further, in one embodiment of the invention, before the step of removing the removable group W, the polymer W-Poly-X can be linked to a macromolecule or surface through a linkage formed between the first reactive functional group X and a suitable moiety on the surface of the macromolecule, thus conjugating the W-Poly- portion of the polymer to the macromolecule: W-Poly-M<sub>1</sub> where M<sub>1</sub> is a macromolecule such as protein, peptide, lipid, drug, polysaccharide, or other polymers, or surface of a substance, e.g., microorganism. The removable group W in the conjugate W-Poly-M<sub>1</sub> is thereafter removed by mild chemical reactions such as, e.g., catalytic hydrogenolysis or by acid-catalyzed hydrolysis. The resultant -OH can be reacted directly to, e.g., another macromolecule M<sub>2</sub> such as protein, peptide, lipid, drug, polysaccharide, or other polymers, or surface of a substance, e.g., microorganism to form M<sub>2</sub>-Poly-M<sub>1</sub>. If conjugation to another macromolecule is not desired, the -OH group can be optionally converted to an inert non-reactive group, e.g., capped by alkylation. Alternatively, the resultant -OH group can be converted to a reactive functional group Y as described above: Y-Poly-M<sub>1</sub>. The functional group Y can then be reacted with M<sub>2</sub> to form M<sub>2</sub>-Poly-M<sub>1</sub>.

- (1) W-Poly-OH  $\longrightarrow$  W-Poly-X (X=reactive functional group)
- (2) W-Poly-X  $\longrightarrow$  W-Poly-M<sub>1</sub> (M<sub>1</sub>= a macromolecule, e.g., surface, drug, protein, or polymer.)
- (3) W-Poly-M<sub>1</sub> + H<sub>2</sub> (Pd/C)  $\longrightarrow$  WH + HO-Poly-M<sub>1</sub>  
OR  
W-Poly-M<sub>1</sub> + H<sub>2</sub>O/H<sup>+</sup>  $\longrightarrow$  WOH + HO-Poly-M<sub>1</sub>
- (4) HO-Poly-M<sub>1</sub>  $\longrightarrow$  Y<sub>2</sub>-Poly-M<sub>1</sub>
- (5) Y-Poly-M<sub>1</sub> + M<sub>2</sub>  $\longrightarrow$  M<sub>2</sub>-Poly-M<sub>1</sub> (M<sub>2</sub>= a macromolecule, e.g., surface, drug, protein, or polymer, or a different site on M<sub>1</sub>.)

Hydrogels can be produced in this method through crosslinking of multiple different macromolecules by the PEG related polymer Poly. However, it should be understood that, in accordance with this invention, the two functional group X and Y can also be linked to the same macromolecule, wherein the conjugating of PEG related polymers on the macromolecule may form a polymer shell on the macromolecule.

In another embodiment of the invention, the heterobifunctional derivative of PEG or related polymer Y-Poly-X made as described above can be reacted with macromolecules or other substances through the functional groups X and Y and the reactive moieties on the macromolecules or other substances. For example, X and Y can be selected such that two different types of macromolecule or other substance can be linked to X and Y respectively. It is also possible to select X and Y such that they react with the same types of macromolecules.

In accordance with another aspect of the invention, heterobifunctional derivatives of poly(ethylene glycol) or related polymers are provided. Such polymers are represented by a formula of Y-Poly-X, where Poly represents poly(ethylene glycol) or related polymers as defined above. X and Y are reactive functional groups selected from the group consisting of mesylate, tosylate, tresylate,  $-O-(CH_2)_n-CO_2H$  where  $n = 1-6$ ,  $-O-(CH_2)_n-CO_2R_3$  where  $n = 1-6$  and  $R_3$  is an alkyl group,  $-NHR_4$  where  $R_4$  is H or alkyl or an amine protecting group such as t-Boc and Fmoc,  $-O-(CH_2)_n-CH(ZR_5)_2$  where  $n$  is a number of 1-6, and  $Z$  is O or S,  $R_5$  is H or an alkyl group,  $Ar-CH=CH-CH=CH-CO_2-$ , where  $Ar$  represents a moiety selected from the group consisting of phenyl, substituted phenyl, biphenyl, substituted biphenyl, polycyclic aryls, substituted polycyclic aryls, and heterocyclic aryls,  $-O-(CH_2)_n-CHO$ , and  $-O_2CCH_2CH_2CO_2R_6$ , where  $R_6$  is H or NHS,  $n$  is 1-6. Preferably X is different from Y.

In some embodiments, when X is  $Ar-CH=CH-CH=CH-CO_2-$  where  $Ar$  is as defined above, Y is  $-O-(CH_2)_n-CHO$  or  $-O-(CH_2)_n-CH(ZR_5)_2$  where  $n$  is a number of 1-6,  $Z$  is O or S, and  $R_5$  is H or an alkyl group; when X is

$-O-(CH_2)_n-CH(ZR_5)_2$  where  $n$  is a number of 1-6,  $Z$  is O or S, and  $R_5$  is H or an alkyl group,  $Y$  is preferably  $-O_2CCH_2CH_2CO_2R_6$  where  $R_6$  is H or NHS; when  $X$  is  $-O-CH_2-CO_2CH(CH_3)CH_2CONHS$ , the second functional group  $Y$  is preferably  $CH_2=CH-CO_2-$ .

In yet another aspect of the invention, a method is provided for preparing a heterobifunctional derivative of poly(ethylene glycol) or related polymers having a formula of  $X-Poly_a-O-CHR_5(CH_2)_nCO_2-Poly_b-X$ , where  $Poly_a$  and  $Poly_b$  represent the same types of polymers represented by  $Poly$  as described above,  $n$  is 0-6, and  $R_5$  is H or alkyl,  $X$  is a reactive functional group. Substantially pure form of the heterobifunctional derivatives can be produced in high purity and high yield without having to resort to a chromatography purification step.

In the method, a first polymer having a formula of  $Ar-C(R_1)(R_2)O-Poly_b-U$  and a second polymer of  $Ar-C(R_1)(R_2)O-Poly_a-CHR_5(CH_2)_nCO-V$  are provided where  $R_1$  and  $R_2$  are H, alkyl, or Ar where Ar is as defined above and is selected from the group consisting of phenyl, substituted phenyl, biphenyl, substituted biphenyl, polycyclic aryls, substituted polycyclic aryls, and heterocyclic aryls, and where  $U$  and  $V$  are moieties so selected that the first polymer can react with the second polymer to form a polymer of  $Ar-C(R_1)(R_2)O-Poly_a-O-CHR_5(CH_2)_nCO_2-Poly_b-OC(R_1)(R_2)-Ar$ . As described above, the first polymer and the second polymer can be made by forming separately  $Poly_b$  and  $Poly_a$  directly onto an arylmethoxy ion  $Ar-C(R_1)(R_2)O^-$  to produce  $Ar-C(R_1)(R_2)O-Poly_a-OH$  or  $Ar-C(R_1)(R_2)O-Poly_b-OH$ , and optionally, subsequently modifying the terminal hydroxyl group to moieties  $U$  and  $V$  respectively, such that the two polymer can be linked in, e.g., an ester linkage. The linked polymer can then be modified by removing the  $Ar-C(R_1)(R_2)O-$  moieties by catalytic hydrogenolysis or by acid-catalyzed hydrolysis. The resultant  $-OH$  groups can optionally be converted into other reactive functional groups. Examples of the reactive functional group  $X$  include, but are not limited to,  $-OH$ ;  $CH_2=CR_5CO_2-$  where  $R_5$  is H or alkyl;  $-O-(CH_2)_n-CH(ZR)_2$  where  $R$  is H or alkyl,

Z is O or S and n is 1-6; NHS-O<sub>2</sub>CO- where NHS represents N-succinimidyl. In a preferred embodiment, U is -OH and V is a halogen group such as -Cl.

In yet another aspect of the invention, a method is provided for preparing a bifunctional derivative of poly(ethylene glycol) or related polymers having a formula of R<sub>8</sub>O-Poly<sub>a</sub>-O-CHR<sub>5</sub>(CH<sub>2</sub>)<sub>n</sub>CO<sub>2</sub>-Poly<sub>b</sub>-Y, where Poly<sub>a</sub>, Poly<sub>b</sub>, n, R<sub>5</sub> are as defined above, and R<sub>8</sub> is H or an alkyl group. Y is a reactive functional group. The method is free of a chromatography step. In the method, a first polymer of Ar-C(R<sub>1</sub>)(R<sub>2</sub>)O-Poly<sub>b</sub>-U, is provided as described above. A second polymer of R<sub>8</sub>O-Poly<sub>a</sub>-CHR<sub>5</sub>(CH<sub>2</sub>)<sub>n</sub>CO-V, is also provided where R<sub>8</sub> is H or alkyl, or Ar where Ar is defined as above. The moieties U and V can be reacted with each other to form, e.g., an ester linkage such that the first polymer can be linked with the second polymer to form the polymer of R<sub>8</sub>O-Poly<sub>a</sub>-O-CHR<sub>5</sub>(CH<sub>2</sub>)<sub>n</sub>CO<sub>2</sub>-Poly<sub>b</sub>-OC(R<sub>1</sub>)(R<sub>2</sub>)-Ar. The Ar-C(R<sub>1</sub>)(R<sub>2</sub>)O- moiety can then be converted into a desirable functional group. Preferably, U is -OH, and V is a halogen group such as -Cl. Y can be a functional group such as -OH; CH<sub>2</sub>=CR<sub>5</sub>CO<sub>2</sub>- where R<sub>5</sub> is H or alkyl; and -O-(CH<sub>2</sub>)<sub>n</sub>-CH(ZR)<sub>2</sub> where R is H or alkyl, Z is O or S and n is 1-6; and -O-(CH<sub>2</sub>)<sub>n</sub>-CO<sub>2</sub>H where n is 1-6.

In accordance with yet another aspect of the invention, a method is provided for making a polymer of R<sub>9</sub>O-Poly-OH without contaminating the polymer with the reactivity of HO-Poly-OH. Poly is as defined above, and R<sub>9</sub> is an alkyl or aryl group. As disclosed in the prior art, removing HO-Poly-OH such as HO-PEG-OH from a preparation of PEG derivatives normally requires extensive and laborious purification steps using, e.g., chromatography. The method of the present invention obviates this requirement. In the method, Ar-CR<sub>1</sub>R<sub>2</sub>O-Poly-OH is first synthesized by forming the polymer Poly onto the arylmethyloxide ion Ar-CR<sub>1</sub>R<sub>2</sub>O<sup>-</sup>. The Ar-CR<sub>1</sub>R<sub>2</sub>O-Poly-OH is then alkylated to convert the polymers to Ar-CR<sub>1</sub>R<sub>2</sub>-O-PEG-OR<sub>9</sub>. Any impurity of HO-Poly-OH is converted to R<sub>9</sub>O-PEG-OR<sub>9</sub> upon alkylation. The next step is to convert the Ar-CR<sub>1</sub>R<sub>2</sub>-O- moiety into -OH by acid-catalyzed hydrolysis or hydrogenolysis and forming a new mixture of R<sub>9</sub>O-PEG-OH and R<sub>9</sub>O-PEG-OR<sub>9</sub>. Since R<sub>9</sub>O-PEG-OR<sub>9</sub> is inert in most chemical reactions, the mixture is chemically equivalent to pure



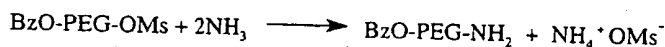
$R_9O$ -PEG-OH. Optionally, the  $R_9O$ -PEG-OH can be further converted to  $R_9O$ -PEG-CHO.

The following examples are given to illustrate the invention, but should not be considered in limitation of the invention.

- Example 1.        Synthesis of  $HO$ -PEG- $NH_3^+ Cl^-$
- Example 2.        Synthesis of  $HO$ -PEG - $OCH_2CO_2H$
- Example 3.        Synthesis of  $Cl^-H_3N^+$ -PEG-O- $CH_2CO_2H$
- Example 4.        Synthesis of  $Cl^-H_3N^+$ -PEG-O- $CH_2CH_2CO_2H$
- Example 5.        Synthesis of  
 $C_6H_5-CH=CH-CH=CH-CO_2PEG-OCH_2CH(OC_2H_5)_2$
- Example 6.        Synthesis of  $NHS-O_2CO$ -PEG- $OCH_2CO_2$ -PEG-O- $CO_2NHS$   
 (NHS=N-succinimidyl)
- Example 7.        Synthesis of  $CH_2=CH-CO_2$ -PEG- $OCH_2CO_2$ -PEG- $O_2C-CH=CH_2$
- Example 8.        Synthesis of  $CH_3O$ -PEG-O- $CH_2CH_2CO_2$ -PEG-OH
- Example 9.        Synthesis of  
 $NHS-O_2CCH_2CH_2COO$ -PEG- $OCH_2CH_2CH(OC_2H_5)_2$
- Example 10.       Synthesis of  
 $CH_2=CHCO_2$ -PEG- $OCH_2CO_2$ -PEG- $OCH(CH_3)CH_2CO_2NHS$
- Example 11.       Application of alkylation to prepare  $CH_3O$ -PEG-OH having no  
 $HO$ -PEG-OH from a mixture of  $BzO$ -PEG-OH and  
 $HO$ -PEG-OH

EXAMPLE 1PREPARATION OF HO-PEG-NH<sub>3</sub><sup>+</sup>Cl<sup>-</sup>

## REACTIONS:



a) Preparation of BzO-PEG-OMs: BzO-PEG-OH (MW=3400, 34 g, 10 mmole) in 150 ml of toluene was azeotropically distilled for 2 hours under nitrogen and the solution was cooled to room temperature. To the solution was added 40 ml of dry methylene chloride and 2.1 ml of dry triethylamine (15 mmole). The solution was cooled in an ice bath and 1.2 ml of dry mesyl chloride (15 mmole) was added dropwise. The solution was stirred at room temperature under nitrogen overnight and the reaction was quenched by adding 2 ml absolute ethanol. The mixture was evaporated under vacuum to remove solvents, primarily those other than toluene, filtered, concentrated again under vacuum, and then precipitated into 100 ml of ethyl ether. The product was collected by filtration and dried *in vacuo*. Yield 34 g (100%). <sup>1</sup>H nmr (DMSO-d<sub>6</sub>): δ 3.5 (br m, PEG), 4.31 (t, OCH<sub>2</sub>CH<sub>2</sub>OMs), 4.49 (s, C<sub>6</sub>H<sub>5</sub>-CH<sub>2</sub>-OPEG-), 7.33 (s+complex mult., C<sub>6</sub>H<sub>5</sub>-CH<sub>2</sub>-OPEG-).

b) Preparation of BzO-PEG-NH<sub>2</sub>: BzO-PEG-OMs (25 g, 7.35 mmole) was dissolved in 500 ml of aqueous ammonia solution containing 5 g of ammonium chloride and the solution was stirred at room temperature for 72 hours. The solution was then extracted three times with methylene chloride. The organic phase was dried over sodium sulfate, filtered, condensed under vacuum, and the product precipitated with 100 ml of ethyl ether. The product was collected by filtration and dried *in vacuo*. Yield 23 g (92%). <sup>1</sup>H nmr (DMSO-d<sub>6</sub>): δ 3.5 (br m, PEG), 2.9 (t, -CH<sub>2</sub>NH<sub>2</sub>), 4.49 (s, C<sub>6</sub>H<sub>5</sub>-CH<sub>2</sub>-OPEG-), 7.33 (s+complex mult., C<sub>6</sub>H<sub>5</sub>-CH<sub>2</sub>-OPEG-).

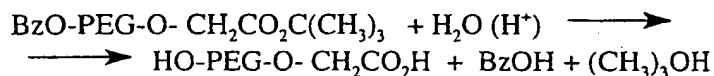
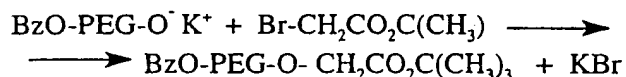
c) Preparation of HO-PEG-NH<sub>3</sub><sup>+</sup>Cl<sup>-</sup>: A solution of BzO-PEG-NH<sub>2</sub> (46 g, 14 mmoles) in 200 ml of concentrated HCl (12 M) was

stirred at room temperature for 44 h. It was then diluted to 1200 ml with water and NaCl was added to make a 15% solution. The aqueous solution was extracted three times with methylene chloride and the combined extracts were dried over sodium sulfate. The methylene chloride was concentrated under vacuum and the product precipitated by the addition of ether. The product was collected by filtration and dried under vacuum at room temperature. Yield: 42 g (95%).  $^1\text{H}$  nmr (DMSO- $d_6$ ):  $\delta$  2.96 (t,  $\text{CH}_2\text{-N}$ ), 3.5 (br m; PEG), 4.6 (br, OH), 7.9 (br,  $\text{NH}_3^+$ ).

## EXAMPLE 2

### PREPARATION OF HO-PEG-OCH<sub>2</sub>CO<sub>2</sub>H

REACTIONS:



#### a) Preparation of BzO-PEG-OCH<sub>2</sub>CO<sub>2</sub>C(CH<sub>3</sub>)<sub>3</sub>:

BzO-PEG-OH (MW=3400, 40 g, 11.7 mmole) was azeotropically dried with 250 ml toluene under  $\text{N}_2$ . After two hours, the solution was cooled to room temperature. Potassium tert-butoxide (2.8 g, 23.5 mmole) dissolved in 90 ml tert-butanol and 90 ml toluene was added to the above PEG solution. The mixture was stirred for two hours at room temperature. Tert-butyl bromoacetate (4 ml, 26.3 mmole) was added, and the solution was stirred under  $\text{N}_2$  at room temperature overnight. The solution was filtered, condensed under vacuum, and precipitated into 300 ml of ether. The product was collected by filtration and dried under vacuum.  $^1\text{H}$  nmr (DMSO- $d_6$ ):  $\delta$  1.5 (s, t-Bu), 3.51 (m, PEG), 3.98 (s,  $-\text{OCH}_2\text{CO}_2-$ ), 4.49 (s,  $\text{C}_6\text{H}_5\text{CH}_2\text{O}-$ ), 7.33 (s + comp. mult.,  $\text{C}_6\text{H}_5\text{CH}_2\text{O}-$ ).

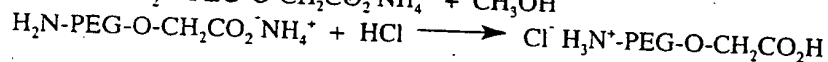
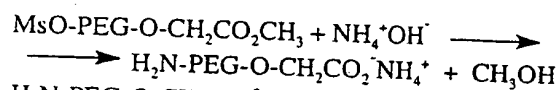
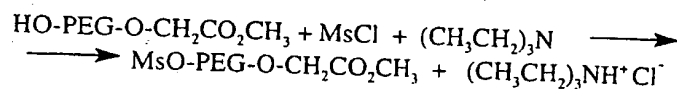
#### b) Preparation of HO-PEG-OCH<sub>2</sub>CO<sub>2</sub>H:

BzO-PEG-OCH<sub>2</sub>CO<sub>2</sub>C(CH<sub>3</sub>)<sub>3</sub> (10 g) was dissolved in 100 ml of hydrochloric acid (37%), and the solution was stirred at room temperature for 48 hours. The solution

was diluted with one liter of distilled water and the pH was adjusted to 2 with 1N sodium hydroxide solution. The solution was then extracted three times with methylene chloride. The organic phase was dried over anhydrous sodium sulfate, filtered to remove salt, condensed under vacuum, and precipitated into ether. The product was collected by filtration and dried under vacuum. Yield 8.5 g (85%).  $^1\text{H}$  nmr (DMSO- $d_6$ ):  $\delta$  3.51 (br m, PEG), 4.01 (s, -PEGOCH $_2$ COOH).

### EXAMPLE 3

#### PREPARATION OF Cl H $_3$ N $^+$ -PEG-O-CH $_2$ CO $_2$ H



#### a) Preparation of HO-PEG $_{3400}$ -OCH $_2$ CO $_2$ CH $_3$

HO-PEG-OCH $_2$ CO $_2$ H (15 g) prepared as in Example 2 was dissolved in 75 ml of methanol and 3 ml of concentrated H $_2$ SO $_4$  was added to the resulting solution. The solution was stirred at room temperature for 1.5 h and 180 ml of 5% aqueous NaHCO $_3$  was carefully added. Sodium chloride (25 g) was then added and the pH of the resulting solution was adjusted to 7.0 with 5% Na $_2$ HPO $_4$ . The solution was extracted with methylene chloride and the combined organic phases were dried over Na $_2$ SO $_4$ . The methylene chloride solution was then evaporated to 20 ml and the product precipitated with 300 ml of cold ethyl ether. The product was collected by filtration and dried under vacuum at room temperature to obtain 13.5 g of product which was shown to be 100% pure by GPC.

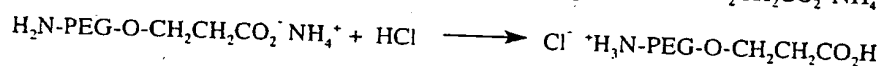
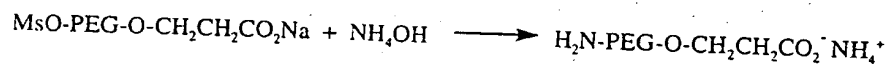
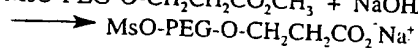
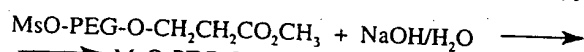
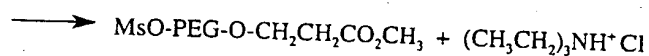
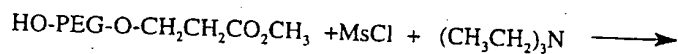
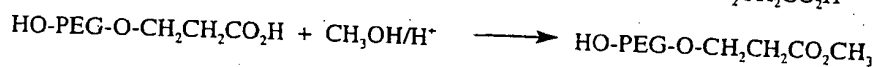
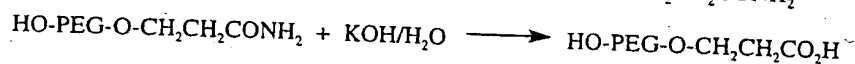
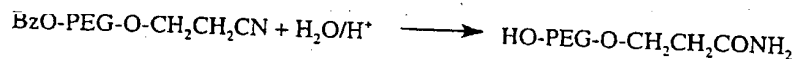
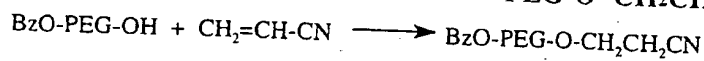
#### b) Preparation of MsO-PEG-OCH $_2$ CO $_2$ CH $_3$ :

HO-PEG $_{3400}$ -OCH $_2$ CO $_2$ CH $_3$  (13.5 g) was dissolved in 400 ml of CHCl $_3$  and about 200 ml of solvent was distilled. The residual solution was cooled to room temperature and triethylamine (0.72 ml) was added, followed by 0.38 ml of MsCl. The reaction mixture was stirred overnight under N $_2$  at room temperature, 2 ml of

ethanol was added, and the resulting mixture was stirred 15 minutes. After evaporating the solvent under vacuum (55°C bath), 300 ml of cold ethyl ether was added and the resulting precipitate was collected by filtration and dried under vacuum at room temperature. The yield was 14 g and the  $^1\text{H}$  nmr spectrum indicated 100% mesylation.

c) Preparation of  $\text{H}_2\text{N-PEG}_{3400}\text{-CO}_2^-\text{NH}_4^+$ :  $\text{MsO}$ - $\text{OCH}_2\text{CO}_2\text{CH}_3$  (13 g) was dissolved in 70 ml of  $\text{H}_2\text{O}$  and the pH was adjusted to 12. After stirring for 1.5 h while maintaining the pH at 12, 250 of  $\text{NH}_4\text{OH}$  solution containing 5%  $\text{NH}_4\text{Cl}$  was added. The reaction mixture was then stirred for about 40 h  $\text{NaCl}$  was added to bring its concentration to about 8%. The resulting solution was extracted with  $\text{CH}_2\text{Cl}_2$  and dried over  $\text{Na}_2\text{SO}_4$ . The  $\text{CH}_2\text{Cl}_2$  phase was evaporated to about 20 ml and precipitated with about 300 ml of cold ethyl ether. The precipitated product was collected by filtration and dried under vacuum at room temperature. The yield was 12.5 g. The purity was 97% by  $^1\text{H}$  nmr and 95% pure by GPC.

d) Preparation of  $\text{Cl}^-\text{H}_3\text{N}^+\text{-PEG}_{3400}\text{-O-CH}_2\text{CO}_2\text{H}$ :  $\text{H}_2\text{N-PEG-CO}_2^-\text{NH}_4^+$  (g) was dissolved on  $\text{H}_2\text{O}$  (50 ml) containing 15%  $\text{NaCl}$ . The pH was adjusted to 3.0 with 1 N  $\text{HCl}$  and the resulting solution was extracted with  $\text{CH}_2\text{Cl}_2$ . The  $\text{CH}_2\text{Cl}_2$  extract was dried over  $\text{Na}_2\text{SO}_4$ , evaporated to about 20 ml and the product precipitated with about 300 ml of ethyl ether and dried under vacuum at room temperature. The purity was 95% by  $^1\text{H}$  nmr.

**EXAMPLE 4****PREPARATION OF  $\text{Cl}^- \text{H}_3\text{N}^+$ -PEG-O-CH<sub>2</sub>CH<sub>2</sub>CO<sub>2</sub>H****a) Preparation of HO-PEG<sub>3400</sub>-O-CH<sub>2</sub>CH<sub>2</sub>CO<sub>2</sub>H**

BzO-PEG-OH (100 g) was dissolved in 100 ml of H<sub>2</sub>O, 5 ml of 40% aqueous KOH was added to the resulting solution and the mixture stirred for 1 h. The solution was then cooled to 0°C and 50 ml of acrylonitrile was added under argon. After stirring 3 h, 1 l of 20% NaCl in H<sub>2</sub>O was added and the pH adjusted to 7.0 with 10% NaH<sub>2</sub>PO<sub>4</sub>. The solution was then extracted with CH<sub>2</sub>Cl<sub>2</sub> and the extract dried over Na<sub>2</sub>SO<sub>4</sub>. After evaporating the solvent under vacuum, the residue was dissolved in 500 ml of concentrated HCl and stirred at room temperature for 60 h. The solution was then added to a solution of 240 g of NaOH in 1.2 l of H<sub>2</sub>O, NaCl was added to make an 8% solution, and the pH was adjusted to 7.0. The solution was extracted with CH<sub>2</sub>Cl<sub>2</sub> and the extract was dried over Na<sub>2</sub>SO<sub>4</sub> and evaporated to dryness under vacuum. The residue was stirred 20 h in 1.5 l of 8% KOH, then 180 ml of concentrated HCl and NaCl (8%) was added. The pH was adjusted to 3.0 and the product extracted with methylene chloride. The extract was dried over Na<sub>2</sub>SO<sub>4</sub>, evaporated, and the product precipitated with ethyl ether. The product was collected by filtration and dried under vacuum to obtain 90 g containing 91 % of HO-PEG-O-CH<sub>2</sub>CH<sub>2</sub>CO<sub>2</sub>H by GPC.

**b) Preparation of  $\text{Cl}^- \text{H}_3\text{N-PEG-O-CH}_2\text{CH}_2\text{CO}_2\text{H}$** 

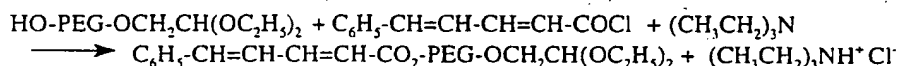
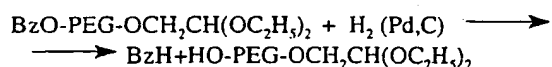
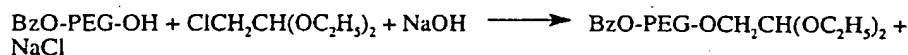
HO-PEG-O-CH<sub>2</sub>CH<sub>2</sub>CO<sub>2</sub>H was converted to  $\text{Cl}^-$

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\*H<sub>3</sub>N-PEG-O-CH<sub>2</sub>CH<sub>2</sub>CO<sub>2</sub>H by the same procedure as described for conversion of HO-PEG-OCH<sub>2</sub>CO<sub>2</sub>H to Cl<sup>-</sup> H<sub>3</sub>N<sup>+</sup>-PEG<sub>3400</sub>-O-CH<sub>2</sub>CO<sub>2</sub>H in Example 3.

### EXAMPLE 5

PREPARATION OF C<sub>6</sub>H<sub>5</sub>-CH=CH-CH=CH-CO<sub>2</sub>PEG-OCH<sub>2</sub>CH(OC<sub>2</sub>H<sub>5</sub>)<sub>2</sub>  
REACTIONS:



a) Preparation of BzO-PEG-O-CH<sub>2</sub>CH(OC<sub>2</sub>H<sub>5</sub>)<sub>2</sub>: In a 3-necked, 500 ml round-bottom flask were placed 300 ml of dioxane and 14 g of BzO-PEG-OH (MW=3400, 0.0040 moles). The resulting solution was then azeotropically dried by distillation under N<sub>2</sub> of 130 ml of solvent. After cooling the solution, finely powdered NaOH (0.8 g, 0.02 moles) and ClCH<sub>2</sub>CH(OC<sub>2</sub>H<sub>5</sub>)<sub>2</sub> (3 ml, 0.02 moles) were added under N<sub>2</sub> and the resulting suspension was rapidly stirred while refluxing over a 24 h period. Thirty ml of dioxane was then removed by distillation and the rapidly stirred solution was refluxed under N<sub>2</sub> for an additional 24 h. The suspension was then cooled and filtered with the addition of Celite<sup>R</sup>. The filtrate was evaporated under vacuum and 200 ml of ethyl ether was added to the residual oil. The resulting precipitate was collected by filtration and dried under vacuum at room temperature to obtain a tan powder (13.6 g). The powder was dissolved in CH<sub>2</sub>Cl<sub>2</sub> (35 ml) and reprecipitated by the addition of 500 ml of cold ethyl ether. The precipitate was collected by filtration and dried under vacuum at room temperature to obtain 13.0 g of BzO-PEG-OCH<sub>2</sub>CH(OC<sub>2</sub>H<sub>5</sub>)<sub>2</sub> as a white powder (purity 94-98% by <sup>1</sup>H nmr). <sup>1</sup>H nmr (DMSO-d<sub>6</sub>): δ 1.11 (t, OCH<sub>2</sub>CH<sub>3</sub>); 3.51 (br m, O-CH<sub>2</sub>CH<sub>2</sub>-O), 4.48 (s, C<sub>6</sub>H<sub>5</sub>-CH<sub>2</sub>O); 4.55 (t, -CH(OC<sub>2</sub>H<sub>5</sub>)<sub>2</sub>), 7.32 (s, C<sub>6</sub>H<sub>5</sub>-)

b) Preparation of HO-PEG-O-CH<sub>2</sub>CH(OC<sub>2</sub>H<sub>5</sub>)<sub>2</sub>:

BzO-PEG-OCH<sub>2</sub>CH(OC<sub>2</sub>H<sub>5</sub>)<sub>2</sub> (13 g) was dissolved in 150 ml of 95% ethanol and 6.5 g of 10% Pd on charcoal was added under N<sub>2</sub>. The suspension was shaken 70 h under H<sub>2</sub> (40 psi) and the suspension filtered. The residual catalyst was washed with 2x25 ml of boiling chloroform and the washings combined with the ethanol filtrate and evaporated under vacuum to obtain a clear, colorless oil. To the oil was added 400 ml of cold ethyl ether and the resulting precipitate collected by filtration to obtain, after vacuum drying at room temperature, 11.3 g of HO-PEG-OCH<sub>2</sub>CH(OC<sub>2</sub>H<sub>5</sub>)<sub>2</sub> as a white powder (92% pure by <sup>1</sup>H nmr). <sup>1</sup>H nmr (DMSO-d<sub>6</sub>): δ 1.10, (t, OCH<sub>2</sub>CH<sub>3</sub>), 3.51 (br m, O-CH<sub>2</sub>CH<sub>2</sub>-O), 4.55, (m, HO + -CH(OCH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>)

c) Preparation of

C<sub>6</sub>H<sub>5</sub>-CH=CH-CH=CH-CO<sub>2</sub>PEG-OCH<sub>2</sub>CH(OC<sub>2</sub>H<sub>5</sub>)<sub>2</sub>: A solution of cinnamylideneacetic acid (1.7 g, 0.01 moles) and thionyl chloride (3 ml, 0.04 moles) in 50 ml of hexane were refluxed under N<sub>2</sub> for 4 h, then filtered to remove a small amount of dark solid and the filtrate evaporated under vacuum. The residue was dried under vacuum overnight at room temperature to obtain 1.5 g of cinnamylideneacetyl chloride as a yellow solid, m.p. 51-52°C.)

A solution of HO-PEG-OCH<sub>2</sub>CH(OC<sub>2</sub>H<sub>5</sub>)<sub>2</sub> (3.4 g, mmole) in toluene (50 ml) was azeotropically distilled under nitrogen for 2 h to remove traces of water, then cooled to room temperature. Triethylamine was distilled from KOH under nitrogen and 0.28 ml (2 mmoles) of the fresh distillate was injected under nitrogen into the solution of HO-PEG-OCH<sub>2</sub>CH(OC<sub>2</sub>H<sub>5</sub>)<sub>2</sub> in toluene. To the resulting solution was added cinnamylidene acetyl chloride (C<sub>6</sub>H<sub>5</sub>CH=CH-CH=CHCOCl) dropwise under nitrogen at room temperature with rapid stirring. Stirring was allowed to continue for three days under the same conditions and the white precipitate was removed by filtration. The filtrate was evaporated to 20 ml under vacuum and 300 ml of cold ether was added. The pale yellow precipitate was collected by filtration and dried under vacuum to obtain 3.4 g of pale yellow powder. The powder was dissolved in methylene chloride and extracted once with 50 ml of aqueous saturated sodium chloride and once with water. The organic phase was dried over sodium sulfate, evaporated to 25 ml, and 300 ml of cold ether was added with swirling. The resulting precipitate was

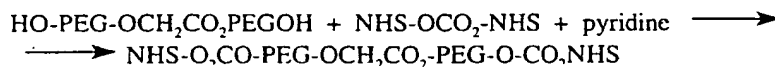
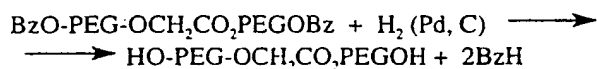
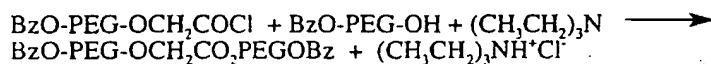
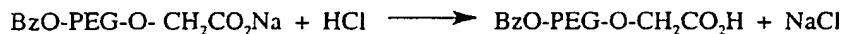
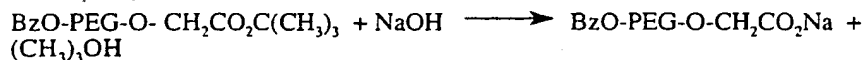


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collected by filtration and dried under vacuum at room temperature to obtain 3.05 g (86%) of  $C_6H_5-CH=CH-CH=CH-CO_2PEG-OCH_2CH(OC_2H_5)_2$  as a pale yellow powder.  $^1H$  nmr (DMSO- $d_6$ ):  $\delta$  1.11ppm (t,  $CH_3CH_2O-$ , 3.51ppm (m,  $PEG-O-CH_2CH_2-O + CH_3CH_2O-$ ); 4.20ppm (t,  $-CH_2O_2C-$ ), 4.52ppm (t,  $-CH(OC_2H_5)_2$ ) 6.11 (d,  $=CH$ , 7.57-7.12 (comp. mult.,  $C_6H_5-$  +  $=CH$ ) Purity by nmr: 89-96%

**EXAMPLE 6****PREPARATION OF NHS- $O_2CO$ -PEG- $OCH_2CO_2$ -PEG- $O-CO_2NHS$** 

(NHS=Nsuccinimidyl)

**REACTIONS:**

a) Preparation of BzO-PEG-OCH<sub>2</sub>CO<sub>2</sub>H:

BzO-PEG-OCH<sub>2</sub>CO<sub>2</sub>C(CH<sub>3</sub>)<sub>3</sub> (20 g) was dissolved in distilled water and the pH adjusted to 12.0 with 1N NaOH solution. The solution was kept at pH 12.0 for two hours by continuous addition of NaOH solution and the solution was stirred overnight. The pH of the solution was adjusted to 2.5 by addition of 1N HCl solution and the solution was extracted three times with methylene chloride. The combined organic methylene chloride phases were dried over anhydrous sodium sulfate, filtered, and the filtrate condensed under vacuum before precipitating the product with ethyl ether. The product was collected by filtration and dried under vacuum at room temperature. Yield 18 g (90%). <sup>1</sup>H nmr (DMSO-d<sub>6</sub>): δ 3.5 (br m, PEG), 4.01 (s, -PEGOCH<sub>2</sub>COOH), 4.49 (s, C<sub>6</sub>H<sub>5</sub>-CH<sub>2</sub>-OPEG-), 7.33 (s+com, C<sub>6</sub>H<sub>5</sub>-CH<sub>2</sub>-OPEG-).

b) Preparation of BzO-PEG-OCH<sub>2</sub>CO<sub>2</sub>-PEG-OBz: In a 100 ml round-bottom flask, BzO-PEG-OCH<sub>2</sub>CO<sub>2</sub>H (MW=3400, 3.4 g, 1mmol) in toluene was dried by azeotropic distillation. A solution of thionyl chloride (2M, 4 ml, 8 mmole) in methylene chloride was injected and the mixture was stirred under N<sub>2</sub> overnight. The solvent was condensed by rotary evaporation and the syrup was dried *in vacuo* for about four hours over P<sub>2</sub>O<sub>5</sub> powder. To the residue was added 5 ml of anhydrous methylene chloride and azeotropically dried BzO-PEG-OH (MW=3400, 2.55 g, 0.75 mmol) in toluene (20 ml). After the BzO-PEG-OCH<sub>2</sub>COCl was dissolved, freshly distilled triethylamine (0.6 ml) was added and the mixture was stirred overnight. The triethylamine salt was removed by filtration and the product collected by precipitation with ethyl ether. It was further purified by dissolving in water and extracting with methylene chloride. Gel permeation chromatography of the product showed that 100% of BzO-PEG-OH had been converted to the ester. The mixture was then chromatographed on an ion-exchange column (DEAE sepharose fast flow, Pharmacia) to remove the BzO-PEG-OCH<sub>2</sub>CO<sub>2</sub>H and pure BzO-PEG-OCH<sub>2</sub>CO<sub>2</sub>-PEG-OBz was obtained. Yield: 4.1 gram (80%). <sup>1</sup>H nmr (DMSO-d<sub>6</sub>): δ 3.5 (br m, PEG), 4.14 (s, -PEGOCH<sub>2</sub>COOPEG-), 4.18 (t, -PEGO-CH<sub>2</sub>COOCH<sub>2</sub>CH<sub>2</sub>OPEG-), 4.48 (s, Ar-CH<sub>2</sub>O) 7.32 (s, C<sub>6</sub>H<sub>5</sub>-).

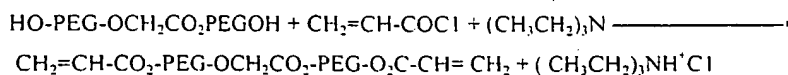
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c) Preparation of HO-PEG-OCH<sub>2</sub>CO<sub>2</sub>-PEG-OH: A solution of BzO-PEG-OCH<sub>2</sub>CO<sub>2</sub>-PEG-OBz (MW=6800, 2 g, 0.59 mmole) in 1,4- dioxane (20 ml) was hydrogenolyzed with H<sub>2</sub> (2 atm) and 1 gram Pd/C (10%) overnight. The catalyst was removed by filtration and the product precipitated into ethyl ether after most of the solvent was removed on a rotary evaporator. Pure HO-PEG-OCH<sub>2</sub>CO<sub>2</sub>-PEG-OH was collected by filtration and dried under vacuum at room temperature to yield: 1.5 g (75%) of HO-PEG-OCH<sub>2</sub>CO<sub>2</sub>-PEG-OH. <sup>1</sup>H nmr (DMSO-d<sub>6</sub>): δ 3.5 (br m, PEG), 4.14 (s, -PEGOCH<sub>2</sub>COOPEG-), 4.18 (t, -PEGO-CH<sub>2</sub>COOCH<sub>2</sub>CH<sub>2</sub>OPEG-).

d) Preparation of NHS-O<sub>2</sub>CO-PEG-OCH<sub>2</sub>CO<sub>2</sub>-PEG-O-CO<sub>2</sub>NHS: HO-PEG-OCH<sub>2</sub>CO<sub>2</sub>-PEG-OH (2 g, 0.29 mmole) was azeotropically distilled with 100 ml acetonitrile and then slowly cooled to room temperature. To the resulting solution were added disuccinimidyl carbonate (621 mg, 1.17 mmole) and pyridine (0.3 ml), and the solution was stirred at room temperature overnight. The solvent was then removed under vacuum and 35 ml of dry methylene chloride was added to the residue. The insoluble solid was removed by filtration and the filtrate was washed with pH 4.5 sodium chloride saturated acetate buffer. The organic phase was dried over anhydrous sodium sulfate, and the solvent removed under vacuum. Ethyl ether was added and the precipitate was collected by filtration and dried under vacuum. Yield: 1.8 g (90%). <sup>1</sup>H nmr (DMSO-d<sub>6</sub>): δ 3.5 (br m, PEG), 4.14 (s, -PEGOCH<sub>2</sub>COOPEG-), 4.18 (t, -PEGOCH<sub>2</sub>COOCH<sub>2</sub>CH<sub>2</sub>OPEG-), 4.45 (t, -PEGOCH<sub>2</sub>CH<sub>2</sub>OCONHS), 2.81 (s, NHS)

### EXAMPLE 7

#### PREPARATION OF CH<sub>2</sub>=CH-CO<sub>2</sub>-PEG-OCH<sub>2</sub>CO<sub>2</sub>-PEG-O<sub>2</sub>C-CH=CH<sub>2</sub>



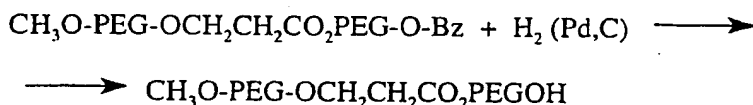
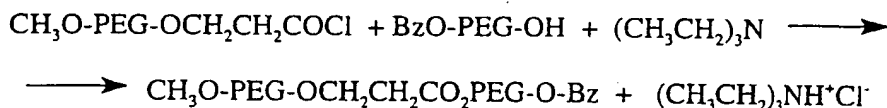
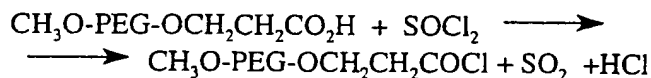
Preparation of CH<sub>2</sub>=CH-CO<sub>2</sub>-PEG-OCH<sub>2</sub>CO<sub>2</sub>-PEG-O<sub>2</sub>C-CH=CH<sub>2</sub>

REACTIONS:

HO-PEG-OCH<sub>2</sub>CO<sub>2</sub>-PEG-OH (M.W.=6800, 1.5 g, 0.44 mmole end group) was azeotropically dried with 100 ml of toluene for two hours. The solution was cooled to room temperature under N<sub>2</sub> and 25 ml of methylene chloride and triethylamine (TEA, 0.56 mmole) was added. The solution was cooled in an ice bath and acryloyl chloride (1.5 mmole) in CH<sub>2</sub>Cl<sub>2</sub> was added dropwise to the solution. After addition of acryloyl chloride, the ice bath was removed and the solution was stirred at room temperature overnight. One ml of ethanol was added to consume excess acryloyl chloride and the methylene chloride was then condensed under vacuum. The salt was removed by filtration, and the remaining solution precipitated into 100 ml of ether. The product was collected by filtration and dried *in vacuo*. The product was dissolved in 50 ml of chloroform and sodium carbonate (1.3 g) was added. The mixture was stirred vigorously at room temperature overnight. The salt was removed by filtration and the solvent was removed under vacuum. The residue was dissolved in 5 ml of methylene chloride and the solution added to 100 ml of ethyl ether. The resulting precipitate was collected by filtration and washed with 20 ml of 2 propanol and then with 40 ml of ether. The product was finally dried *in vacuo*. Yield: 1.35 g (90%). <sup>1</sup>H nmr (DMSO-d<sub>6</sub>): δ 3. dissolved in 5 ml methylene chloride and then precipitated into 100 ml of ethyl ether. The product was collected by filtration, washed with 20 ml of 2-propanol and then 40 ml ether. 5 (br m, PEG), 4.14 (t, -PEGOCH<sub>2</sub>COOPEG-), 4.18 (t, -PEGOCH<sub>2</sub>COOCH<sub>2</sub>CH<sub>2</sub>OPEG-), 4.21 (t, CH<sub>2</sub>=CH-COO-CH<sub>2</sub>CH<sub>2</sub>-O-PEG-, 4H), 5.85-6.45(m, CH<sub>2</sub>=CHCOOPEG-).

### EXAMPLE 8

#### PREPARATION OF CH<sub>3</sub>O-PEG-O-CH=CH<sub>2</sub>CO<sub>2</sub>-PEG-OH REACTIONS:



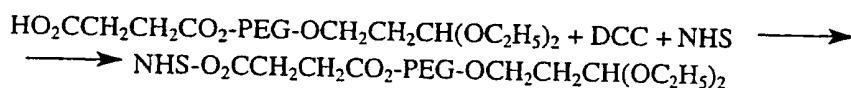
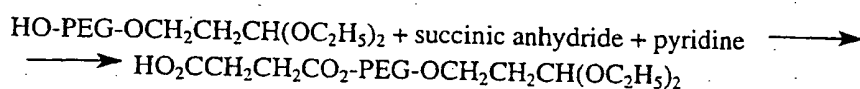
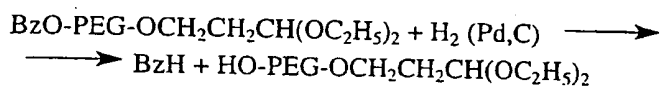
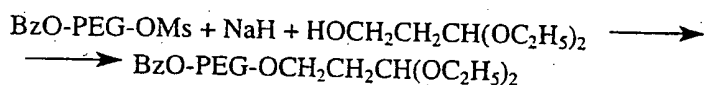
a) Preparation of  $\text{CH}_3\text{O-PEG-OCH}_2\text{CH}_2\text{CO}_2\text{-PEG-OBz}$ : In a 100 ml round-bottom flask, a solution of  $\text{CH}_3\text{O-PEG-OCH}_2\text{CH}_2\text{CO}_2\text{H}$  (MW=2000, 2 g, 1mmole) was dissolved in toluene and azeotropically dried for two hours. After slowly cooling to room temperature, the solution was added to thionyl chloride (3 ml, 6 mmole) in methylene chloride and then stirred under  $\text{N}_2$  overnight. The solvent was then removed by rotary evaporation and the residual syrup was dried *in vacuo* for about four hours over  $\text{P}_2\text{O}_5$  powder. To the solid was added 5 ml of anhydrous methylene chloride and a solution (20ml) of azeotropically dried  $\text{BzO-PEG-OH}$  (MW=3400, 2.04 g, 0.60 mmol) in toluene. To the resulting solution was added 0.6ml of freshly distilled triethylamine and the solution was stirred overnight. The triethylamine salt was removed by filtration and the crude product was precipitated with ethyl ether and collected by filtration. The mixture was then purified by ion-exchange chromatography (DEAE sepharose fast flow column, Pharmacia). Pure  $\text{CH}_3\text{O-PEG-OCH}_2\text{CH}_2\text{CO}_2\text{-PEG-OBz}$  was obtained. Yield: 2.6 g (80%).  $^1\text{H}$  nmr ( $\text{DMSO-d}_6$ ):  $\delta$  3.5 (br. mult., PEG), 3.24 (s,  $\text{CH}_3\text{OPEG-}$ ), 4.48 (s,  $-\text{PEGOCH}_2\text{C}_6\text{H}_5$ ), 7.33 (s + comp. mult.,  $-\text{PEGOCH}_2\text{C}_6\text{H}_5$ ), 2.55 (t,  $-\text{OCH}_2\text{CH}_2\text{CO}_2\text{PEG-}$ ), 4.13 (t,  $-\text{PEG-CO}_2\text{CH}_2\text{CH}_2\text{O-PEG}$ )

b) Preparation of  $\text{CH}_3\text{O-PEG-OCH}_2\text{CH}_2\text{CO}_2\text{-PEG-OH}$   
A solution of 2 g of  $\text{CH}_3\text{O-PEG-OCH}_2\text{CH}_2\text{CO}_2\text{-PEG-OBz}$  in 1,4-dioxane was hydrogenolyzed with  $\text{H}_2$  (2 atm) on 1 gram Pd/C (10%) overnight. The catalyst was removed by filtration, the solvent was condensed under vacuum and the solution was added to ethyl ether. The product was collected by filtration and

dried under vacuum at room temperature to yield: 1.5 g (75%) of  $\text{CH}_3\text{O}-\text{PEG}-\text{OCH}_2\text{CH}_2\text{CO}_2-\text{PEG}-\text{OH}$ .  $^1\text{H}$  nmr ( $\text{DMSO}-d_6$ ):  $\delta$  3.5 (br. mult. PEG), 3.24 (s,  $\text{CH}_3\text{OPEG}-$ ), 2.55 (t,  $\text{OCH}_2\text{CH}_2\text{CO}_2\text{PEG}-$ ), 4.13 (t,  $-\text{PEG}-\text{CO}_2\text{CH}_2\text{CH}_2\text{O}-\text{PEG}$ )

### EXAMPLE 9

#### PREPARATION OF $\text{NHS}-\text{O}_2\text{CCH}_2\text{CH}_2\text{COO}-\text{PEG}-\text{OCH}_2\text{CH}_2\text{CH}(\text{OC}_2\text{H}_5)_2$ REACTIONS:



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a) Preparation of  $\text{BzO}-\text{PEG}-\text{OMs}$ :  $\text{BzO}-\text{PEG}-\text{OH}$  (MW=3400, 25 g, 7.35 mmol) in 150 ml of toluene was azeotropically distilled for 1 hour under nitrogen and the solution was cooled to room temperature. To the solution was added 20 ml of dry methylene chloride, 1.14 ml of dry triethylamine (8.16 mmol) and 0.61 ml of dry mesyl chloride (7.86 mmol) dropwise. The solution was stirred at room temperature under nitrogen overnight and the reaction was quenched by adding 5 ml absolute ethanol. The mixture was concentrated under vacuum, filtered, concentrated again under vacuum and precipitated into ethyl ether. The product was collected by filtration and dried *in vacuo*. Yield 23 g (100%).  $^1\text{H}$  nmr ( $\text{DMSO}-d_6$ ):  $\delta$  3.5 (br m, PEG), 4.31 (t,  $\text{OCH}_2\text{CH}_2\text{OMs}$ ), 4.49 (s,  $\text{C}_6\text{H}_5-\text{CH}_2-\text{O}-\text{PEG}-$ ), 7.33 (s + comp m.,  $\text{C}_6\text{H}_5-\text{CH}_2-\text{OPEG}-$ ).

b) Preparation of  $\text{BzO}-\text{PEG}-\text{OCH}_2\text{CH}_2\text{CH}(\text{OC}_2\text{H}_5)_2$ :

A solution of 3,3-diethoxypropanol (9.806 g, 66.2 mmol) was azeotropically distilled in 90 ml of toluene for 1 hour under nitrogen. After cooling to room temperature the solution was added to a dispersion of sodium hydride (60% in mineral oil, 2.75 g, 68.7 mmol) in 50 ml of anhydrous toluene. The solution was mixed for 2 h under mild heating at 35°C and then filtered. The filtrate was added to an azeotropically distilled solution of BzOPEG-OMs (23 g, 6.76 mmol) in 150 ml toluene. The mixture was stirred for 20 hours at 125°C under a nitrogen atmosphere. The mixture was concentrated under vacuum and the residue was dissolved in 80 ml of methylene chloride. The solution was filtered and the product was precipitated with 1 liter of cold isopropyl alcohol. The product was collected by filtration and dried *in vacuo*. The powder was dissolved in 100 ml of deionized water and extracted three times with 200 ml methylene chloride. The mixture was concentrated under vacuum, filtered and precipitated into ethyl ether. The product was collected by filtration and dried *in vacuo*. Yield 19 g (100%). <sup>1</sup>H nmr (DMSO-d<sub>6</sub>): δ 1.10 (t, -CH(OCH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>), 1.73 (q, -OCH<sub>2</sub>CH<sub>2</sub>-CH), 3.5 (br m, PEG), 4.49 (s, C<sub>6</sub>H<sub>5</sub>-CH<sub>2</sub>-OPEG-), 4.56 (m, -CH(OCH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>), 7.33 (s + comp m, C<sub>6</sub>H<sub>5</sub>-CH<sub>2</sub>-O-PEG-).

c) Preparation of HO-PEG-OCH<sub>2</sub>CH<sub>2</sub>CH(OC<sub>2</sub>H<sub>5</sub>)<sub>2</sub>:

BzO-PEG-OCH<sub>2</sub>CH<sub>2</sub>CH(OC<sub>2</sub>H<sub>5</sub>)<sub>2</sub> (10 g, 2.94 mmol) was dissolved in 100 ml of 96% ethanol and 5.0 g of 10% Pd on charcoal was added under nitrogen. The suspension was shaken 48 h under H<sub>2</sub> (40 psi) and the suspension filtered. The residual catalyst was washed with methylene chloride. The product in the combined filtrate of methylene chloride and ethanol was concentrated under vacuum and filtered. The viscous solution was precipitated into cold ethyl ether, and the product recovered by filtration and dried *in vacuo*. Yield 15 g. <sup>1</sup>H nmr (DMSO-d<sub>6</sub>): δ 1.10 (t, -CH(OCH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>), 1.72 (q, -OCH<sub>2</sub>CH<sub>2</sub>-CH), 3.5 (br m, PEG), 4.55 (m, -CH(OCH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>).

d) Preparation of

HO<sub>2</sub>CCH<sub>2</sub>CH<sub>2</sub>CO<sub>2</sub>-PEG-OCH<sub>2</sub>CH<sub>2</sub>CH(OCH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>:

HO-PEG-OCH<sub>2</sub>CH<sub>2</sub>CH(OC<sub>2</sub>H<sub>5</sub>)<sub>2</sub> (3 g, 0.88 mmol) and BHT (5 mg) were dissolved in 20 ml of anhydrous toluene and azeotropically distilled at 120°C for 1 hour under nitrogen. After cooling the solution to 75°C, pyridine (0.36 ml) and

succinic anhydride (0.353 g) were added and stirred at 75°C for 24 hours. The solution was concentrated under vacuum, filtered and precipitated into cold ethyl ether. The precipitate was recovered by filtration and dried *in vacuo*. The powder was reconstituted in 50 ml deionized water and 1M sodium hydroxide was added dropwise to maintain a constant pH of 7.2 for 1 hour. 1N HCl was quickly added dropwise to obtain a pH of 3.0 and immediately extracted 3 times into 100 ml methylene chloride. The product in the organic phase was dried over sodium sulfate, concentrated under vacuum, precipitated into cold ethyl ether, recovered by filtration and dried *in vacuo*. Yield 2.0 g (88%). <sup>1</sup>H nmr (DMSO-d<sub>6</sub>): δ 1.10 (t, -CH(OCH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>), 1.72 (q, -OCH<sub>2</sub>CH<sub>2</sub>-CH), 3.5 (br m, PEG), 4.12 (t, -CO<sub>2</sub>CH<sub>2</sub>-), 4.55 (t, -CH(OCH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>).

e) Preparation of

NHS-O<sub>2</sub>CCH<sub>2</sub>CH<sub>2</sub>CO<sub>2</sub>-PEG-OCH<sub>2</sub>CH<sub>2</sub>CH(OCH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>:

HO<sub>2</sub>CCH<sub>2</sub>CH<sub>2</sub>CO<sub>2</sub>-PEG-OCH<sub>2</sub>CH<sub>2</sub>CH(OCH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub> (2.0 g, 0.56 mmol) was dissolved in 20 ml anhydrous methylene chloride under a nitrogen atmosphere. N-hydroxysuccinimide (105 mg, 0.91 mmol) was first added to the solution and then dicyclohexylcarbodiimide (174 mg, 0.84 mmol) was added. The solution was stirred overnight at room temperature under nitrogen atmosphere. The product was concentrated under vacuum, filtered, precipitated into cold ethyl ether, recovered by filtration and dried *in vacuo*. Yield 1.5 g (99%). <sup>1</sup>H nmr (DMSO-d<sub>6</sub>): δ 1.10 (t, -CH(OCH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>), 1.72 (q, -OCH<sub>2</sub>CH<sub>2</sub>-CH), 2.80 (s, NHS), 3.5 (br m, PEG), 4.12 (t, -CO<sub>2</sub>CH<sub>2</sub>-), 4.55 (t, -CH(OCH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>).

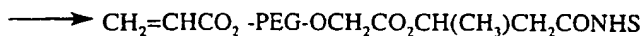
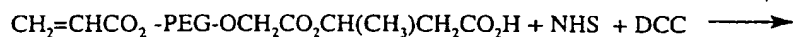
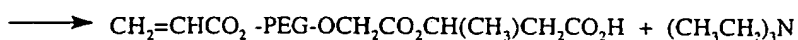
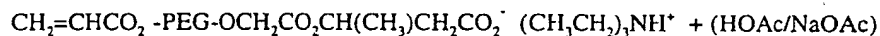
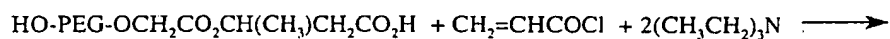
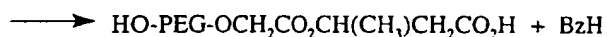
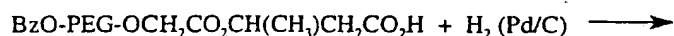
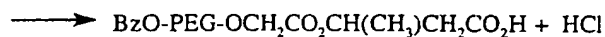
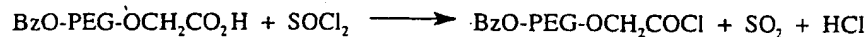


EXAMPLE 10

## PREPARATION OF



## REACTIONS:



a) Preparation of BzO-PEG-OCH<sub>2</sub>CO<sub>2</sub>-CH(CH<sub>3</sub>)CH<sub>2</sub>CO<sub>2</sub>H:

BzO-PEG-OCH<sub>2</sub>CO<sub>2</sub>H (MW=3400, 15 g, 4.4 mmole) was azeotropically dried with 60 ml of toluene under N<sub>2</sub>. After two hours, the solution was slowly cooled to room temperature. To this solution was added thionyl chloride (18 ml, 36 mmole). The resulting solution was stirred overnight, the solvent condensed by rotary evaporation, and the syrup dried *in vacuo* for about four hours over P<sub>2</sub>O<sub>5</sub> powder. 3-hydroxybutyric acid (1.45 g, 13.5 mmole) was azeotropically dried with 70 ml of 1,4-dioxane, and then added to the dried BzO-PEG-OCH<sub>2</sub>COCl. After the PEG acyl chloride had dissolved, 4.5 ml of dry triethylamine was injected into the system and the solution was stirred overnight. The salt was removed by filtration and the filtrate was condensed on a rotary evaporator at 55°C and dried *in vacuo*. The crude product was then dissolved in 100 ml of distilled water and the pH of the solution was adjusted to 3.0. The aqueous phase was extracted three times with a total of 80 ml of methylene chloride. The organic phase was dried over sodium sulfate, filtered, condensed on a rotary evaporator, and precipitated into 100 ml of ethyl ether. The product was collected by filtration and dried *in vacuo* at room temperature. Yield 14 g (93%). <sup>1</sup>H nmr (DMSO-d<sub>6</sub>): δ 3.5 (br m, PEG), 2.58 (d, -PEGCOOCH(CH<sub>3</sub>)CH<sub>2</sub>COOH), 5.14 (h, -PEG-COOCH(CH<sub>3</sub>)CH<sub>2</sub>COOH), 1.21 (d, -PEGCOOCH(CH<sub>3</sub>)CH<sub>2</sub>COOH), 4.055 (s, PEGOCH<sub>2</sub>COO), 4.49 (s, C<sub>6</sub>H<sub>5</sub>-CH<sub>2</sub>-OPEG-), 7.33 (s+comp. mult., C<sub>6</sub>H<sub>5</sub>CH<sub>2</sub>-OPEG-).

b) Preparation of HO-PEG-OCH<sub>2</sub>CO<sub>2</sub>-CH(CH<sub>3</sub>)CH<sub>2</sub>CO<sub>2</sub>H: A

solution of BzO-PEG-OCH<sub>2</sub>CO<sub>2</sub>-OCH(CH<sub>3</sub>)CH<sub>2</sub>CO<sub>2</sub>H (8 g) in benzene (50 ml) was hydrogenolyzed with H<sub>2</sub> (2 atm) on 4 gram Pd/C (10%) at room temperature for 48 hours. The catalyst was removed by filtration, the solvent was condensed, and the solution was precipitated into ethyl ether. The product was collected by filtration and dried *in vacuo* at room temperature. Yield: 6.6 gram (83%). <sup>1</sup>H nmr (DMSO-d<sub>6</sub>): δ 3.5 (br m, PEG), 2.51 (d, -PEGCO<sub>2</sub>CH(CH<sub>3</sub>)CH<sub>2</sub>CO<sub>2</sub>H), 5.16 (h, -PEG-CO<sub>2</sub>CH(CH<sub>3</sub>)CH<sub>2</sub>CO<sub>2</sub>H), 1.22 (ds PEG-CO<sub>2</sub>CH(CH<sub>3</sub>)CH<sub>2</sub>CO<sub>2</sub>H), 4.06 (s, -PEGOCHCO<sub>2</sub>CH(CH<sub>3</sub>)-

## c) Preparation of

CH<sub>2</sub>=CHCO<sub>2</sub>-PEG-OCH<sub>2</sub>CO<sub>2</sub>-CH(CH<sub>3</sub>)CH<sub>2</sub>CO<sub>2</sub>H:

HO-PEG-OCH<sub>2</sub>CO<sub>2</sub>CH(CH<sub>3</sub>)CH<sub>2</sub>CO<sub>2</sub>H (3g, 0.88 mmole) was azeotropically

distilled with 40 ml of toluene under  $N_2$  until approximately 15 ml of solution remained. The solution was then cooled to room temperature under  $N_2$  and 25 ml of methylene chloride and triethylamine (1.5 mmole) were added. The solution was cooled in an ice bath and acryloyl chloride (2 mmole) were added dropwise. After addition of acryloyl chloride, the ice bath was removed and the solution was stirred at room temperature overnight. The methylene chloride was then partially removed under vacuum, the salt was removed by filtration, and the filtrate added to 100ml of ether. The precipitated product was collected by filtration and dried *in vacuo*. The product was then dissolved in sodium acetate buffer (0.1M, pH 5.5), stirred for half an hour, and extracted three times with methylene chloride. The organic phase was dried over sodium sulfate, filtered, condensed, and precipitated in 100 ml of ethyl ether. The precipitate was collected by filtration and dried *in vacuo* at room temperature. Yield 2.4 g (80%).  $^1H$  nmr (DMSO- $d_6$ ):  $\delta$  3.5 (br m, PEG), 2.51 (d,  $\underline{CH_2}CO_2H$ ), 5.16 (h,  $-\underline{CH}(CH_3)-$ ), 1.22 (d,  $-\underline{CH}(CH_3)-$ ), 4.06 (s,  $-\text{PEGOCH}_2\text{CO}_2\text{PEG}-$ ), 4.21 (t,  $-\text{CO}_2\underline{CH_2}CH_2O-$ ), 5.85-6.45 (m,  $\underline{CH_2}=\underline{CH}-$ )

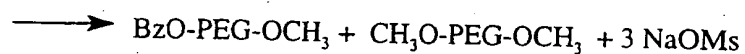
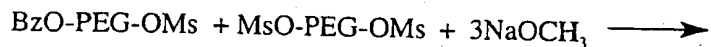
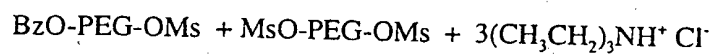
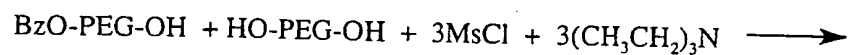
d) Preparation of

$CH_2=CHCO_2\text{-PEG-OCH}_2\text{CO}_2\text{-CH}(CH_3)CH_2CO_2NHS$ :

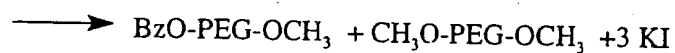
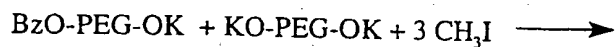
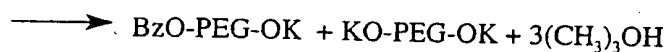
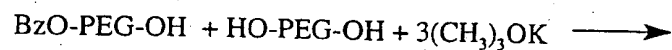
$CH_2=CH\text{-CO}_2\text{-PEG-OCH}_2\text{CO}_2\text{CH}(CH_3)CH_2CO_2H$  (1.4 g, approx. 0.4 mmole) and N-hydroxysuccinimide (51 mg, 0.43 mmole) was dissolved in 30 ml of dry methylene chloride. To this solution was added dicyclohexylcarbodiimide (95 mg, 0.45 mmole) in 5 ml of dry methylene chloride. The solution was stirred under nitrogen overnight and the solvent removed by rotary evaporation. The resulting syrup was dissolved in 10 ml of dry toluene and the insoluble solid was removed by filtration. The filtrate was added to 100 ml of dry ethyl ether and the precipitated product was collected by filtration and dried *in vacuo* at room temperature. Yield 0.94g (94%).  $^1H$  nmr (DMSO- $d_6$ ):  $\delta$  3.5 (br m, PEG), 3.0-3.2 (m,  $-\text{PEGCOO-CH}(CH_3)\underline{CH_2}COONHS$ ), 5.26 (h,  $\text{PEGCOOCH}(CH_3)CH_2COONHS$ ), 1.3 (d,  $-\text{PEG-COOCH}(\underline{CH_3})CH_2COONHS$ ), 4.10 (s,  $-\text{PEGOCH}_2\text{COO (CM)}$ ), 2.81 (s, NHS), 4.21 (t,  $CH_2=CH\text{-COO-CH}_2CH_2\text{-O-PEG-}$ , 4H), 5.85-6.45 (m,  $\underline{CH_2}=\underline{CH}COO\text{PEG-}$ ).

EXAMPLE 11

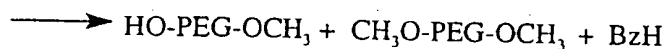
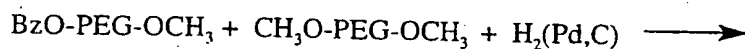
**METHOD FOR PREPARING CH<sub>3</sub>O-PEG-OH AND CONTAINING NO HO-PEG-OH FROM A MIXTURE OF BzO-PEG-OH and HO-PEG-OH REACTIONS:**



Alternate synthesis of BzO-PEG-OCH<sub>3</sub> + CH<sub>3</sub>O-PEG-OCH<sub>3</sub>:



Product from either route is hydrogenolyzed:



a) Preparation of BzO-PEG-OMs: BzO-PEG-OH (MW=5000, 50 g, 10mmoles) containing 6% by weight of HO-PEG-OH was dissolved in toluene and the solution was azeotropically dried for 2 h under nitrogen and cooled to room temperature. To this solution was added 50 ml of anhydrous methylene chloride and 2.1 ml of anhydrous triethylamine (15 mmoles). The resulting solution was cooled in an ice bath and 1.2 ml of mesyl chloride (15 mmoles) was added dropwise. The solution was then stirred at room temperature overnight and the reaction was quenched by adding 2 ml of absolute ethanol. The mixture was then concentrated under reduced pressure to remove 100 ml of solvents, filtered, and added to 800 ml of cold ether. The precipitated product was collected by filtration and dried under reduced pressure. Yield: 48.3g (96.6%).  $^1\text{H}$  nmr (DMSO- $d_6$ ):  $\delta$  3.5 (br m, PEG), 4.31 (t,  $\text{OCH}_2\text{CH}_2\text{OMs}$ ), 4.49 (s,  $\text{C}_6\text{H}_5\text{-CH}_2\text{-OPEG-}$ ), 7.33 (s+complex mult.,  $\text{C}_6\text{H}_5\text{-CH}_2\text{-OPEG-}$ )

b) Preparation of BzO-PEG-OCH<sub>3</sub>: BzO-PEG-OMs containing 6% by weight of MsO-PEG-OMs (MW=5078, 45 g, 8.86 mmoles) in 250 ml of toluene was azeotropically dried for 2 h. To the resulting solution was added a 25 wt% solution of sodium methoxide (11.5 g, 53.2 mmoles, 6-fold excess) in methanol and the resulting solution was heated at 120-122°C for 20 h under nitrogen. The resulting solution was then cooled to room temperature, 2ml of water was added, and the mixture stirred 15 minutes. The mixture was then concentrated under reduced pressure to remove 100 ml of solvent, filtered, and the filtrate added to 700 ml of cold ether. The precipitated product was collected by filtration and dried under reduced pressure. Yield: 42.8 g.  $^1\text{H}$  nmr (DMSO- $d_6$ ):  $\delta$  3.24 (s, PEG), 3.51 (br. mult., PEG), 4.49 (s,  $\text{C}_6\text{H}_5\text{-CH}_2\text{-OPEG-}$ ), 7.33 (s+comp. mult.,  $\text{C}_6\text{H}_5\text{-CH}_2\text{-OPEG-}$ )

c) Preparation of BzO-PEG-OCH<sub>3</sub> from BzO-PEG-OH: BzO-PEG-OH containing 6wt% of HO-PEG-OH (MW=10,000, 50 g, 5.0 moles) in 250 ml of toluene was azeotropically distilled for 2 h under nitrogen and the solution was cooled to room temperature. Potassium tert-butoxide (1.0 M solution in tert-butanol, 25 ml, 25 mmoles) was added and the mixture was stirred 15 minutes. Methyl iodide (7.1 g, 50mmoles) was then added and the mixture was stirred 20 h at room temperature under nitrogen in the dark. The solvents were

removed under reduce pressure and the residue was dissolved in 100 ml of methylene chloride and added to 800 ml of cold ether. The precipitated product was collected by filtration and dried under reduced pressure. Yield: 46.8 g )  $^1\text{H}$  nmr (DMSO- $\text{d}_6$ ):  $\delta$  3.24 (s,  $\text{CH}_3\text{O-PEG}$ ), 3.51 (br. mult., PEG), 4.49 (s,  $\text{C}_6\text{H}_5\text{-CH}_2\text{-OPEG-}$ ), 7.33 (s+comp. mult.,  $\text{C}_6\text{H}_5\text{-CH}_2\text{-OPEG-}$ ).

d) Preparation of  $\text{CH}_3\text{OPEG-OH}$  containing no  $\text{HO-PEG-OH}$ :  $\text{BzO-PEG-OCH}_3$  containing 6wt% of  $\text{CH}_3\text{O-PEG-OCH}_3$  (40 g, MW=10,000, mmoles) was dissolved in 400 ml of ethanol and 4 g of Pd on activated carbon (10% Pd) was added. The mixture was hydrogenated (800 psi) at room temperature. The mixture was then filtered and the solvent removed under vacuum. Yield: 37.1 g.  $^1\text{H}$  nmr (DMSO- $\text{d}_6$ ):  $\delta$  (s,  $\text{CH}_3\text{O-PEG}$ ), 3.51 (br. mult., PEG), 4.58 (t, OH)

The invention has been described in particular exemplified embodiments. However, the foregoing description is not intended to limit the invention to the exemplified embodiments, and the skilled artisan should recognize that variations can be made within the scope and spirit of the invention as described in the foregoing specification. On the contrary, the invention includes all alternatives, modifications, and equivalents that may be included within the true spirit and scope of the invention as defined by the appended claims.

## THAT WHICH IS CLAIMED:

1. A method for preparing a heterobifunctional derivative of poly(ethylene glycol) or related polymers, comprising:  
providing a polymer of W-O-Poly-OH, where W is a group which is  
5 removable by catalytic hydrogenolysis or by acid-catalyzed hydrolysis, and Poly represents a difunctional, water soluble, nonpeptidic polymer;  
modifying the -OH moiety to produce a first functional group; and  
converting said W group to a second functional group, said second functional group being different from said first functional group.
2. The method of Claim 1, wherein W has a formula of Ar-C(R<sub>1</sub>)(R<sub>2</sub>)-, where Ar represents a moiety selected from the group consisting of phenyl, substituted phenyl, biphenyl, substituted biphenyl, polycyclic aryls;  
substituted polycyclic aryls, and heterocyclic aryls; where R<sub>1</sub> and R<sub>2</sub> are H, alkyl,  
5 or Ar which is defined above.
3. The method of Claim 1, wherein Poly is selected from poly(alkylene oxides), poly(oxyethylated polyols), poly(olefinic alcohols), poly(acrlomorpholine), and homopolymers, random or block copolymers, or terpolymers based on monomers of these polymers.
4. The method of Claim 1, wherein Poly is poly(ethylene glycol) represented by -CH<sub>2</sub>CH<sub>2</sub>-(CH<sub>2</sub>CH<sub>2</sub>O)<sub>n</sub>-CH<sub>2</sub>CH<sub>2</sub>-, where n is from about 8 to about 4000.
5. The method of Claim 1, wherein Poly is a copolymer of ethylene oxide and propylene oxide.
6. The method of Claim 1, wherein said second functional group is -OH.
7. The method of Claim 1, wherein said first functional group is selected from the group consisting of

mesylate, tosylate, tresylate,

$-O-(CH_2)_n-CO_2H$  wherein  $n = 1-6$ ,

$-O-(CH_2)_n-CO_2R_3$  wherein  $n = 1-6$  and  $R_3$  is an alkyl group,

$-NHR_4$  wherein  $R_4$  is H or alkyl or an amine protecting

group, and

$-O-(CH_2)_n-CH(ZR_5)_2$  wherein  $n$  is a number of 1-6, and  $Z$  is O or S,  $R_5$  is H or an alkyl group,

$Ar-CH=CH-CH=CH-CO_2-$ , where  $Ar$  represents a moiety selected from the group consisting of phenyl, substituted phenyl, biphenyl, substituted biphenyl, polycyclic aryls, substituted polycyclic aryls, and heterocyclic aryls,

$-O-(CH_2)_n-CHO$ , and

$-O_2CCH_2CH_2CO_2R_6$ , wherein  $R_6$  is H or NHS.

8. The method of Claim 1, wherein the second functional group is selected from the group consisting of

hydroxyl group,

mesylate, tosylate, tresylate,

$-O-(CH_2)_n-CO_2H$  wherein  $n = 1-6$ ,

$-O-(CH_2)_n-CO_2R_3$  wherein  $n = 1-6$  and  $R_3$  is an alkyl group,

$-NHR_4$  wherein  $R_4$  is H or alkyl or an amine protecting

group, and

$-O-(CH_2)_n-CH(ZR_5)_2$  wherein  $n$  is a number of 1-6, and  $Z$  is O or S,  $R_5$  is H or an alkyl group,

$Ar-CH=CH-CH=CH-CO_2-$ , where  $Ar$  represents a moiety selected from the group consisting of phenyl, substituted phenyl, biphenyl, substituted biphenyl, polycyclic aryls, substituted polycyclic aryls, and heterocyclic aryls.

$CH_2=CH-CO_2-$ ,

$-O-(CH_2)_n-CHO$ , and

$-O_2CCH_2CH_2CO_2R_6$ , wherein  $R_6$  is H or NHS.



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9. A method for preparing a heterobifunctional polymeric derivative comprising:  
 providing a polymer of the formula  $\text{Ar-C(R}_1\text{)(R}_2\text{)O-Poly-OH}$ ,  
 where Ar is selected from the group consisting of phenyl,  
 5 substituted phenyl, biphenyl, substituted biphenyl, polycyclic aryls, substituted polycyclic aryls, and heterocyclic aryls,  
 where  $\text{R}_1$  and  $\text{R}_2$  are H, alkyl, or Ar, where Ar is as defined above, and  
 where Poly is a difunctional polymer selected from the  
 10 group consisting of, poly(alkylene oxides), poly(oxyethylated polyols), poly(olefinic alcohols), and poly(acrolmorpholine);  
 chemically modifying the -OH group to produce a first functional group;  
 removing the  $\text{Ar-CR}_1\text{R}_2\text{O-}$  group to produce a new hydroxyl group;  
 15 converting the new hydroxyl group to a second functional group, said second functional group being different from said first functional group.
10. The method of Claim 9, wherein Poly has the formula -  
 $\text{CH}_2\text{CH}_2\text{-(CH}_2\text{CH}_2\text{O)}_n\text{-CH}_2\text{CH}_2\text{-}$ , where n is from about 8 to about 4000.
11. The method of Claim 9, wherein Poly is a copolymer of ethylene oxide and propylene oxide.
12. The method of Claim 9, wherein said first functional group is selected from the group consisting of  
 mesylate, tosylate, tresylate,  
 -O-(CH<sub>2</sub>)<sub>n</sub>-CO<sub>2</sub>H wherein n = 1-6,  
 5 -O-(CH<sub>2</sub>)<sub>n</sub>-CO<sub>2</sub>R<sub>3</sub> wherein n = 1-6 and R<sub>3</sub> is an alkyl group,  
 -NHR<sub>4</sub> wherein R<sub>4</sub> is H or alkyl or an amine protecting group,  
 -O-(CH<sub>2</sub>)<sub>n</sub>-CH(ZR<sub>5</sub>)<sub>2</sub> wherein n is a number of 1-6, and Z is O or S, R<sub>5</sub> is H or an alkyl group,

10 Ar-CH=CH-CH=CH-CO<sub>2</sub>-, where Ar represents a moiety selected from the group consisting of phenyl, substituted phenyl, biphenyl, substituted biphenyl, polycyclic aryls, substituted polycyclic aryls, and heterocyclic aryls,

-O-(CH<sub>2</sub>)<sub>n</sub>-CHO, and

15 -O<sub>2</sub>CCH<sub>2</sub>CH<sub>2</sub>CO<sub>2</sub>R<sub>6</sub>, wherein R<sub>6</sub> is H or NHS.

13. The method of Claim 9, wherein said second functional group is selected from the group consisting of:

mesylate, tosylate, tresylate,

-O-(CH<sub>2</sub>)<sub>n</sub>-CO<sub>2</sub>H wherein n = 1-6,

5 -O-(CH<sub>2</sub>)<sub>n</sub>-CO<sub>2</sub>R<sub>3</sub> wherein n = 1-6 and R<sub>3</sub> is an alkyl group,

-NHR<sub>4</sub> wherein R<sub>4</sub> is H or alkyl or an amine protecting group,

-O-(CH<sub>2</sub>)<sub>n</sub>-CH(ZR<sub>5</sub>)<sub>2</sub> where n is a number of 1-6, and Z is O or S, R<sub>5</sub> is H or an alkyl group,

10 Ar-CH=CH-CH=CH-CO<sub>2</sub>-, where Ar represents a moiety selected from the group consisting of phenyl, substituted phenyl, biphenyl, substituted biphenyl, polycyclic aryls, substituted polycyclic aryls, and heterocyclic aryls,

CH<sub>2</sub>=CH-CO<sub>2</sub>-,

15 -O-(CH<sub>2</sub>)<sub>n</sub>-CHO, where n is 1-6, and

-O<sub>2</sub>CCH<sub>2</sub>CH<sub>2</sub>CO<sub>2</sub>R<sub>6</sub>, where R<sub>6</sub> is H or NHS.

14. The method of Claim 9, wherein said first functional group is Ar-CH=CH-CH=CH-CO<sub>2</sub>- and said second functional group is -O-(CH<sub>2</sub>)<sub>n</sub>-CH(ZR<sub>5</sub>)<sub>2</sub> wherein n is a number of 1-6, and Z is O or S, R<sub>5</sub> is H or an alkyl group.

15. The method of Claim 9, wherein said first functional group is Ar-CH=CH-CH=CH-CO<sub>2</sub>- and said second functional group is -O-(CH<sub>2</sub>)<sub>n</sub>-CHO where n is 1-6.

16. The method of Claim 9, wherein said first functional group is -O-(CH<sub>2</sub>)<sub>n</sub>-CH(ZR<sub>5</sub>)<sub>2</sub>, where n is a number of 1-6, and Z is O or S, R<sub>5</sub> is H or an

alkyl group, said second functional group is  $-O_2CCH_2CH_2CO_2R_6$ , where  $R_6$  is H or NHS.

17. The method of Claim 9, wherein said first functional group is  $-O-CH_2-CO_2CH(CH_3)CH_2CO-NHS$ , and wherein said second functional group is  $CH_2=CH-CO_2-$ .

18. A heterobifunctional polymeric derivative represented by a formula of Y-Poly-X, where Poly represents difunctional, water soluble nonpeptidic polymers, and where X and Y are functional groups selected from the group consisting of:

- 5 mesylate, tosylate, tresylate,  
 $-O-(CH_2)_n-CO_2H$  wherein  $n = 1-6$ ,  
 $-O-(CH_2)_n-CO_2R_3$  wherein  $n = 1-6$  and  $R_3$  is an alkyl group,  
 $-NHR_4$  wherein  $R_4$  is H or alkyl or an amine protecting  
group,  
10  $-O-(CH_2)_n-CH(ZR_5)_2$  wherein  $n$  is a number of 1-6, and  $Z$  is  
O or S,  $R_5$  is H or an alkyl group,  
 $Ar-CH=CH-CH=CH-CO_2-$ , where  $Ar$  represents a  
moiety selected from the group consisting of phenyl, substituted phenyl, biphenyl,  
substituted biphenyl, polycyclic aryls, substituted polycyclic aryls, and  
15 heterocyclic aryls,  
 $-O-(CH_2)_n-CHO$  where  $n$  is 1-6, and  
 $-O_2CCH_2CH_2CO_2R_6$ , wherein  $R_6$  is H or NHS, X being  
different from Y.

19. The heterobifunctional derivative of Claim 18, wherein Poly is PEG represented by  $-CH_2CH_2-(CH_2CH_2O)_n-CH_2CH_2-$ , where  $n$  is from about 8 to about 4000.

20. The heterobifunctional derivative of Claim 18, wherein Poly is a copolymer of ethylene oxide and propylene oxide.

21. The heterobifunctional derivative of Claim 18, wherein X is  $\text{Ar-CH=CH-CH=CH-CO}_2^-$  and Y is  $-\text{O}-(\text{CH}_2)_n-\text{CH}(\text{ZR}_5)_2$  wherein n is a number of 1-6, and Z is O or S,  $\text{R}_5$  is H or an alkyl group.

22. The heterobifunctional derivative of Claim 18, wherein X is  $\text{Ar-CH=CH-CH=CH-CO}_2^-$  and Y is  $-\text{O}-(\text{CH}_2)_n-\text{CHO}$ , where Ar represents a moiety selected from the group consisting of phenyl, substituted phenyl, biphenyl, substituted biphenyl, polycyclic aryls, substituted polycyclic aryls, and heterocyclic aryls, and where n is 1-6.

23. The heterobifunctional derivative of Claim 18, wherein X is  $-\text{O}-(\text{CH}_2)_n-\text{CH}(\text{ZR}_5)_2$ , where n is a number of 1-6, and Z is O or S,  $\text{R}_5$  is H or an alkyl group, and Y is  $-\text{O}_2\text{CCH}_2\text{CH}_2\text{CO}_2\text{R}_6$ , where  $\text{R}_6$  is H or NHS.

24. The heterobifunctional derivative of Claim 18, wherein X is  $\text{Ar-CH=CH-CH=CH-CO}_2^-$ , where Ar represents a moiety selected from the group consisting of phenyl, substituted phenyl, biphenyl, substituted biphenyl, polycyclic aryls, substituted polycyclic aryls, and heterocyclic aryls, and wherein Y is  $-\text{O}-(\text{CH}_2)_n-\text{CO}_2\text{R}$ , where R is H, alkyl, or NHS, n is 1-6.

25. A heterobifunctional derivative of poly(ethylene glycol) having the formula of  $\text{Ar-C(R}_1\text{)(R}_2\text{)O-PEG-X}$ , where Ar represents a moiety selected from the group consisting of phenyl, substituted phenyl, biphenyl, substituted biphenyl, polycyclic aryls, substituted polycyclic aryls, and heterocyclic aryls, where X is selected from the group consisting of:

mesylate, tosylate, tresylate,

$-\text{O}-(\text{CH}_2)_n-\text{CO}_2\text{H}$  where n = 1-6,

$-\text{O}-(\text{CH}_2)_n-\text{CO}_2\text{R}_3$  where n = 1-6 and  $\text{R}_3$  is an alkyl group,

$-\text{NHR}_4$  where  $\text{R}_4$  is H or alkyl or an amine protecting group,

$-\text{O}-(\text{CH}_2)_n-\text{CH}(\text{ZR}_5)_2$  where n is a number of 1-6, and Z is O or S.

$\text{R}_5$  is H or an alkyl group,

$\text{Ar-CH=CH-CH=CH-CO}_2^-$ , where Ar is defined above,

$-\text{O}-(\text{CH}_2)_n-\text{CHO}$  where n is 1-6, and

$-O_2CCH_2CH_2CO_2R_6$ , where  $R_6$  is H or NHS.

26. A method for preparing a difunctional polymeric derivative having a formula of  $X-Poly_a-O-CHR_5(CH_2)_nCO_2-Poly_b-X$ , where  $Poly_a$  and  $Poly_b$  are difunctional, water soluble, nonpeptidic polymers, that can be the same or different,  $n$  is 0-6, and  $R_5$  is H or alkyl,  $X$  is a functional group, said method being  
 5 free of a chromatography step and comprising:

providing a first polymer of  $Ar-C(R_1)(R_2)O-Poly_b-U$ , and a second polymer of  $Ar-C(R_1)(R_2)O-Poly_a-CHR_5(CH_2)_nCO-V$ , where  $R_1$  and  $R_2$  are H, alkyl, or Ar, where Ar is selected from the group consisting of phenyl, substituted phenyl, biphenyl, substituted biphenyl, polycyclic aryls, substituted  
 10 polycyclic aryls, and heterocyclic aryls, U and V are moieties so selected that said first polymer can react with said second polymer to form a polymer of  $Ar-C(R_1)(R_2)O-Poly_a-O-CHR_5(CH_2)_nCO_2-Poly_b-OC(R_1)(R_2)-Ar$ ;

reacting said first polymer with said second polymer to form said polymer of  $Ar-C(R_1)(R_2)O-Poly_a-O-CHR_5(CH_2)_nCO_2-Poly_b-OC(R_1)(R_2)-Ar$ ;  
 15 converting the  $Ar-C(R_1)(R_2)O-$  moieties into functional groups.

27. The method of Claim 26, wherein U is -OH, and V is halogen.

28. The method of Claim 26, wherein X is OH.

29. The method of Claim 26, wherein X is  $CH_2=CR_5CO_2-$ , where  $R_5$  is H or alkyl.

30. The method of Claim 26, wherein X is  $-O-(CH_2)_n-CH(ZR)_2$ , where R is H or alkyl, Z is O or S and  $n$  is 1-6.

31. The method of Claim 26, wherein X is  $NHS-O_2CO-$ .

32. A method for preparing a difunctional polymeric derivative having a formula of  $R_8O-Poly_a-O-CHR_5(CH_2)_nCO_2-Poly_b-Y$ , where  $Poly_a$  and  $Poly_b$  are difunctional, water soluble, nonpeptidic polymers, that can be the same

- or different,  $n$  is 0-6,  $R_5$  and  $R_8$  are H or alkyl, and  $Y$  is a functional group, said method being free of a chromatography step and comprising:
- 5 providing a first polymer of  $\text{Ar-C}(R_1)(R_2)\text{O-Poly}_b\text{-U}$ , and a second polymer of  $R_8\text{O-Poly}_a\text{-CHR}_5(\text{CH}_2)_n\text{CO-V}$ , where  $R_8$  is H or alkyl,  $R_1$  and  $R_2$  are H, alkyl, or Ar, where Ar is selected from the group consisting of phenyl, substituted phenyl, biphenyl, substituted biphenyl, polycyclic aryls, substituted
- 10 polycyclic aryls, and heterocyclic aryls, U and V are moieties so selected that said first polymer can react with said second polymer to form the polymer of  $R_8\text{O-Poly}_a\text{-O-CHR}_5(\text{CH}_2)_n\text{CO}_2\text{-Poly}_b\text{-OC}(R_1)(R_2)\text{-Ar}$ ;
- reacting said first polymer with said second polymer to form said polymer of  $R_8\text{O-Poly}_a\text{-O-CHR}_5(\text{CH}_2)_n\text{CO}_2\text{-Poly}_b\text{-OC}(R_1)(R_2)\text{-Ar}$ ; and
- 15 converting the  $\text{Ar-C}(R_1)(R_2)\text{O-}$  moiety into a functional group.

33. The method of Claim 32, wherein U is -OH, and V is -Cl.

34. The method of Claim 32, wherein Y is OH.

35. The method of Claim 32, wherein Y is  $\text{CH}_2=\text{CR}_5\text{CO}_2\text{-}$ , where  $R_5$  is H or alkyl.

36. The method of Claim 32, wherein Y is  $-\text{O}-(\text{CH}_2)_n\text{-CH}(\text{ZR})_2\text{-}$ , where R is H or alkyl, Z is O or S and  $n$  is 1-6.

37. A method of inhibiting the reactivity of HO-PEG-OH in a mixture of  $\text{Ar-CR}_1\text{R}_2\text{O-PEG-OH}$  and HO-PEG-OH, where PEG is poly(ethylene glycol), Ar represents a moiety selected from the group consisting of phenyl, substituted phenyl, biphenyl, substituted biphenyl, polycyclic aryls,
- 5 substituted polycyclic aryls, and heterocyclic aryls, where  $R_1$  and  $R_2$  are H, alkyl, or Ar which is defined above, comprising:

alkylating the  $\text{Ar-CR}_1\text{R}_2\text{O-PEG-OH}$  and HO-PEG-OH in said mixture to form  $\text{Ar-CR}_1\text{R}_2\text{-O-PEG-OR}_9$  and  $\text{R}_9\text{O-PEG-OR}_9$  respectively;

converting the  $\text{Ar-CR}_1\text{R}_2\text{-O-}$  moiety into  $\text{-OH}$  by acid-catalyzed  
10 hydrolysis or hydrogenolysis and forming a new mixture of  $\text{R}_9\text{O-PEG-OH}$  and  
 $\text{R}_9\text{O-PEG-OR}_9$ , wherein the  $\text{R}_9\text{O-PEG-OR}_9$  is inert.

38. The method of Claim 37, further comprising converting  
 $\text{R}_9\text{O-PEG-OH}$  in the new mixture to  $\text{R}_9\text{O-PEG-CHO}$ .

39. A method of conjugating PEG or related polymers to  
macromolecules comprising:

providing a polymer of  $\text{Ar-C(R}_1\text{)(R}_2\text{)O-Poly-OH}$ ,  
where Ar is selected from the group consisting of phenyl, substituted phenyl,  
5 biphenyl, substituted biphenyl, polycyclic aryls, substituted polycyclic aryls, and  
heterocyclic aryls,

where  $\text{R}_1$  and  $\text{R}_2$  are H, alkyl, or Ar, where Ar is as defined  
above, and

where Poly is a polymer selected from the group consisting  
10 of poly(ethylene glycol), poly(alkylene oxides), poly(oxyethylated polyols),  
poly(olefinic alcohols), and poly(acrlomorpholine);

chemically modifying the  $\text{-OH}$  group to produce a first functional  
group;

linking a first macromolecule to said first functional group;  
15 removing the  $\text{Ar-CR}_1\text{R}_2\text{O-}$  group to produce a new hydroxyl group;  
converting the new hydroxyl group to a second functional group;  
and linking a second macromolecule to said second functional group.

40. The method of Claim 39, wherein said first and second  
macromolecules are selected from the group consisting of proteins, lipids,  
polysaccharides, oligonucleotides, and drugs.

41. The method of Claim 39, wherein said Poly is represented by -  
 $\text{CH}_2\text{CH}_2\text{-(CH}_2\text{CH}_2\text{O)}_n\text{-CH}_2\text{CH}_2\text{-}$ , where n is from about 8 to about 4000.

42. The method of Claim 39, wherein Poly is a copolymer of  
ethylene oxide and propylene oxide.

43. The method of Claim 39, wherein said first functional group is selected from the group consisting of

mesylate, tosylate, tresylate,

-O-(CH<sub>2</sub>)<sub>n</sub>-CO<sub>2</sub>H wherein n = 1-6,

-O-(CH<sub>2</sub>)<sub>n</sub>-CO<sub>2</sub>R<sub>3</sub> wherein n = 1-6 and R<sub>3</sub> is an alkyl group,

-NHR<sub>4</sub> wherein R<sub>4</sub> is H or alkyl or an amine protecting group,

-O-(CH<sub>2</sub>)<sub>n</sub>-CH(ZR<sub>5</sub>)<sub>2</sub> wherein n is a number of 1-6, and Z is O or S, R<sub>5</sub> is H or an alkyl group,

Ar-CH=CH-CH=CH-CO<sub>2</sub>-, where Ar represents a moiety selected from the group consisting of phenyl, substituted phenyl, biphenyl, substituted biphenyl, polycyclic aryls, substituted polycyclic aryls, and heterocyclic aryls,

-O-(CH<sub>2</sub>)<sub>n</sub>-CHO, where n is 1-6 and

-O<sub>2</sub>CCH<sub>2</sub>CH<sub>2</sub>CO<sub>2</sub>R<sub>6</sub>, wherein R<sub>6</sub> is H or NHS.

44. The method of Claim 39, wherein said second functional group is selected from the group consisting of:

mesylate, tosylate, tresylate,

-O-(CH<sub>2</sub>)<sub>n</sub>-CO<sub>2</sub>H wherein n = 1-6,

-O-(CH<sub>2</sub>)<sub>n</sub>-CO<sub>2</sub>R<sub>3</sub> wherein n = 1-6 and R<sub>3</sub> is an alkyl group.

-NHR<sub>4</sub> wherein R<sub>4</sub> is H or alkyl or an amine protecting group,

-O-(CH<sub>2</sub>)<sub>n</sub>-CH(ZR<sub>5</sub>)<sub>2</sub> wherein n is a number of 1-6, and Z is O or S, R<sub>5</sub> is H or an alkyl group,

Ar-CH=CH-CH=CH-CO<sub>2</sub>-, where Ar represents a moiety selected from the group consisting of phenyl, substituted phenyl, biphenyl, substituted biphenyl, polycyclic aryls, substituted polycyclic aryls, and heterocyclic aryls,

CH<sub>2</sub>=CH-CO<sub>2</sub>-,

-O-(CH<sub>2</sub>)<sub>n</sub>-CHO where n is 1-6, and

-O<sub>2</sub>CCH<sub>2</sub>CH<sub>2</sub>CO<sub>2</sub>R<sub>6</sub>, wherein R<sub>6</sub> is H or NHS.



45. The method of Claim 39, wherein said first functional group is  $\text{Ar-CH=CH-CH=CH-CO}_2\text{-}$  and said second functional group is  $\text{-O-(CH}_2\text{)}_n\text{-CH(ZR}_5\text{)}_2$  where n is a number of 1-6, and Z is O or S,  $\text{R}_5$  is H or an alkyl group.

46. The method of Claim 39, wherein said first functional group is  $\text{Ar-CH=CH-CH=CH-CO}_2\text{-}$  and said second functional group is  $\text{-O-(CH}_2\text{)}_n\text{-CHO}$  where n is 1-6.

47. The method of Claim 39, wherein said first functional group is  $\text{-O-(CH}_2\text{)}_n\text{-CH(ZR}_5\text{)}_2$ , where n is a number of 1-6, and Z is O or S,  $\text{R}_5$  is H or an alkyl group, said second functional group is  $\text{-O}_2\text{CCH}_2\text{CH}_2\text{CO}_2\text{R}_6$ , where  $\text{R}_6$  is H or NHS

48. The method of Claim 39, wherein said first functional group is  $\text{CH}_2\text{=CH-CO}_2\text{-}$ , and wherein said second functional group is  $\text{-O-CH}_2\text{-CO}_2\text{CH(CH}_3\text{)CH}_2\text{CO-NHS}$ .

# INTERNATIONAL SEARCH REPORT

Int'l Application No  
PCT/US 99/23536

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 A61K47/48 A61K47/34 C08G65/329

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 A61K C08G

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 867 190 A (KYOWA HAKKO KOGYO KK) 30 September 1998 (1998-09-30) page 6, line 1-45; claims; examples & WO 96 35451 A cited in the application	1-4
X	WO 99 34833 A (SHEARWATER POLYMERS ET AL.) 15 July 1999 (1999-07-15) page 14, line 9 - line 18; claims; examples	1-4, 26, 32, 39
X	WO 99 14259 A (SHEARWATER POLYMERS ET AL.) 25 March 1999 (1999-03-25) claims; examples	26, 32
A	WO 99 45964 A (SHEARWATER POLYMERS ET AL.) 16 September 1999 (1999-09-16) claims; examples	1-48
	-/-	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"Z" document member of the same patent family

Date of the actual completion of the international search

26 May 2000

Date of mailing of the international search report

05/06/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Boeker, R

# INTERNATIONAL SEARCH REPORT

Int'l. Jonal Application No  
PCT/US 99/23536

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 97 03106 A (SHEARWATER POLYMERS ET AL.) 30 January 1997 (1997-01-30) claims; examples	1-48
A	ZHAO X ET AL: "NOVEL DEGRADABLE POLY(ETHYLENE GLYCOL) HYDROGELS FOR CONTROLLED RELEASE OF PROTEIN" JOURNAL OF PHARMACEUTICAL SCIENCES, US, AMERICAN PHARMACEUTICAL ASSOCIATION, WASHINGTON, vol. 87, no. 11, 1 November 1998 (1998-11-01), pages 1450-1458, XP000783392 ISSN: 0022-3549	1-48

# INTERNATIONAL SEARCH REPORT

Information on patent family members

Int. l. Application No

PCT/US 99/23536

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
EP 0867190	A	30-09-1998	CA 2220339 A WO 9635451 A	14-11-1996 14-11-1996
WO 9934833	A	15-07-1999	AU 2221499 A	26-07-1999
WO 9914259	A	25-03-1999	AU 6029398 A	05-04-1999
WO 9945964	A	16-09-1999	AU 2903899 A	27-09-1999
WO 9703106	A	30-01-1997	US 5672662 A AU 6345796 A	30-09-1997 10-02-1997

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 99/ 23536

## Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

## FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 1,2, 6-8,18, 21-24, 26-36

Present claims 1,2, 6-8, 18, 21-24, 26-36 relate to an extremely large number of possible compounds because of the functional definition of "poly", i.e. "difunctional, watersoluble, nonpeptidic". The claims contain so many options, that a lack of clarity within the meaning of Article 6 PCT arises to such an extent as to render a meaningful search of the claims impossible. Furthermore support within the meaning of Article 6 PCT and disclosure within the meaning of Article 5 PCT is to be found, however, for only a very small proportion of the compounds claimed. In the present case, the claims so lack support, and the application so lacks disclosure, that a meaningful search over the whole claimed scope is impossible.

Consequently, the search has been carried out for those parts of the application which do appear to be clear and appear to be supported and disclosed, namely those parts relating to heterobifunctional derivatives of poly(alkylene oxides), poly(oxyethylated polyols, poly(olefinic alcohols) and poly(acrlomorpholine).

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.